



$\bar{\nu}_{\mu} CC \pi^0$ in NOvA:

**Status of Muon Antineutrino Charged-Current
Neutral Pion Production Differential Cross Section
Measurement in the NOvA Near Detector**

Fan Gao

University of Pittsburgh
on behalf of the NOvA Collaboration

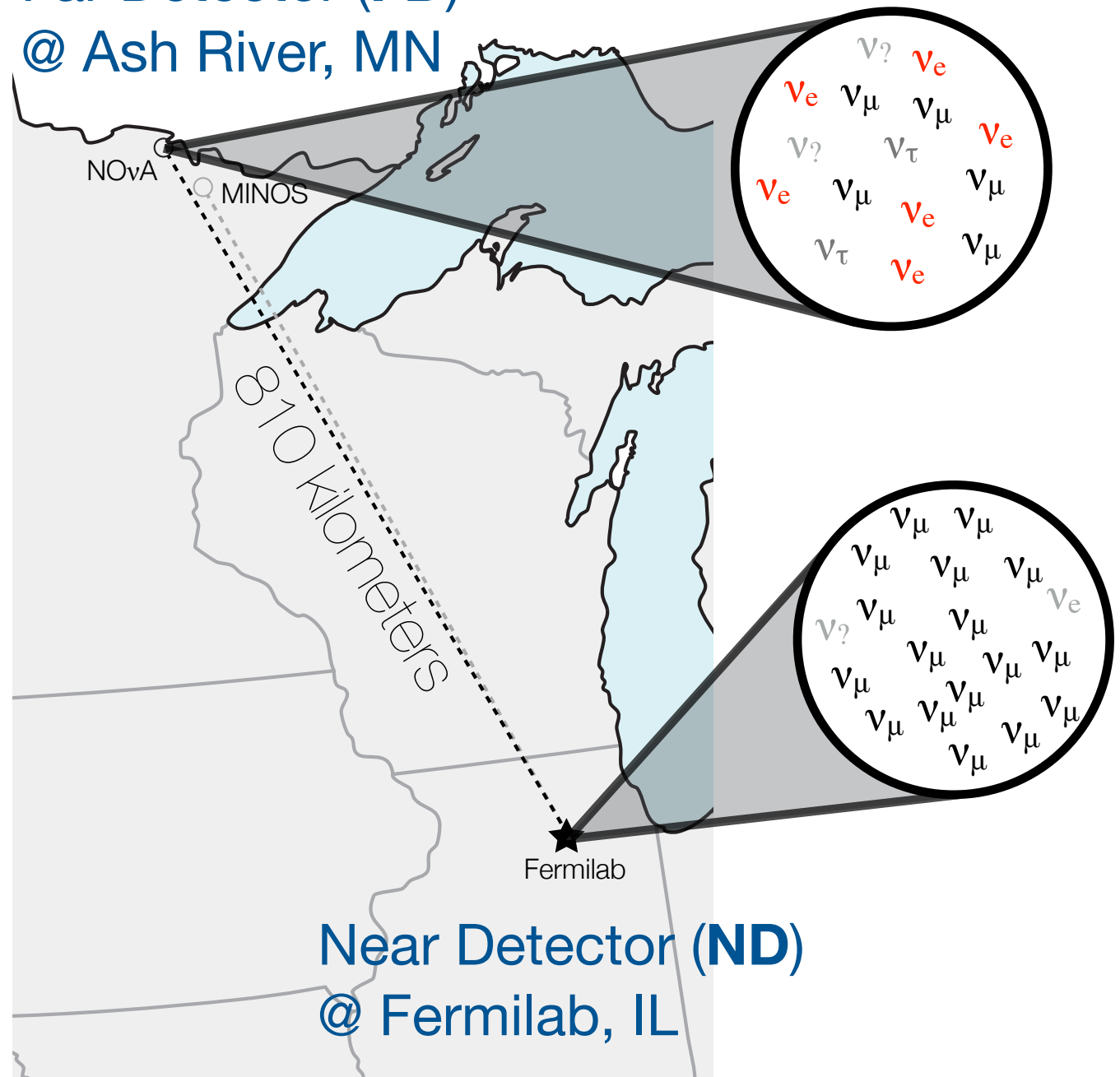
NuFact 2022 (Salt Lake City, Utah)
August 5, 2022

NOvA Experiment

- NOvA is a long-baseline neutrino oscillation experiment
- NuMI beam at Fermilab
 - Neutrino mode (ν_μ) and antineutrino mode ($\bar{\nu}_\mu$)
- Two functionally-identical tracking calorimeter detectors
 - Liquid scintillator
 - Off-axis by 14.6 mrad
 - Separated by ~810 km
- Measure oscillations through 4 channels:

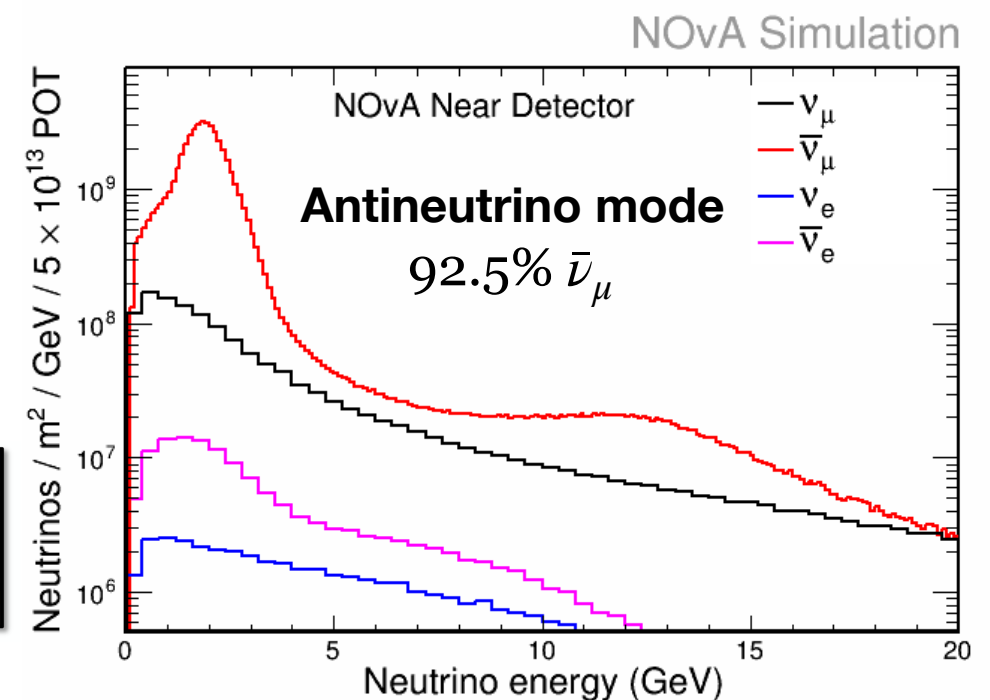
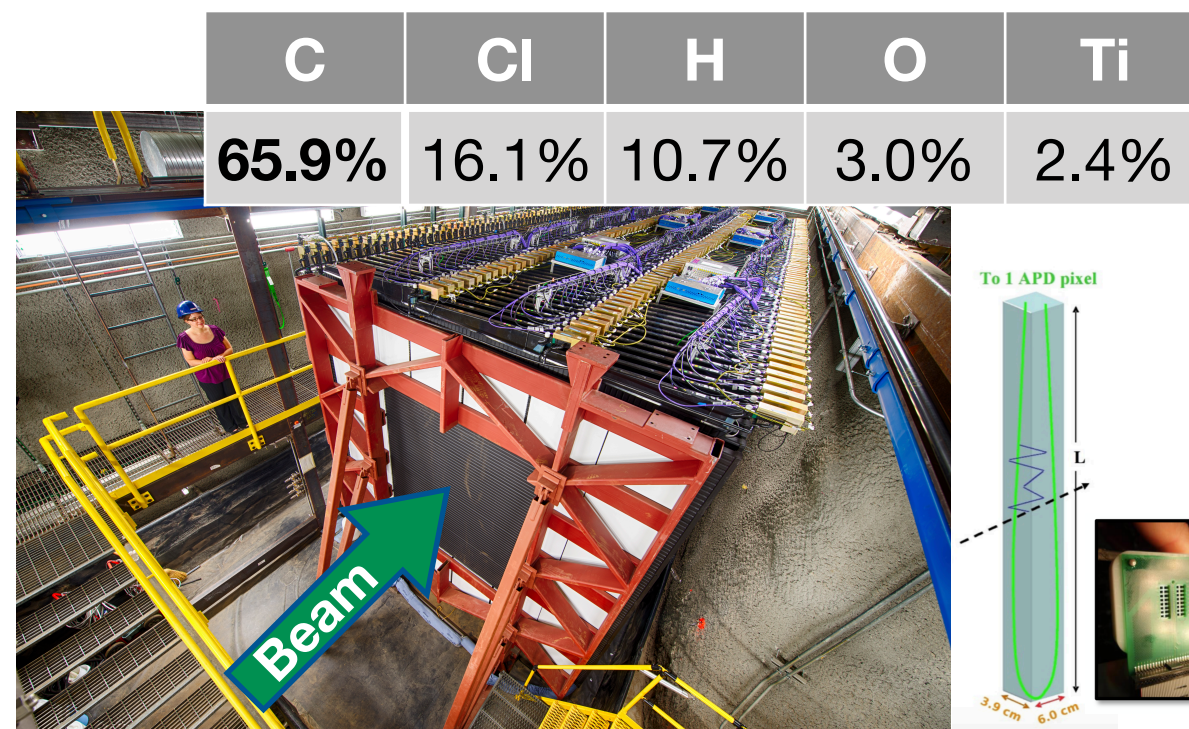
$$\nu_\mu \rightarrow \nu_\mu, \nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_\mu, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

Far Detector (FD)
@ Ash River, MN



$\bar{\nu}_\mu \text{CC} \pi^0$ @ NOvA near detector (ND)

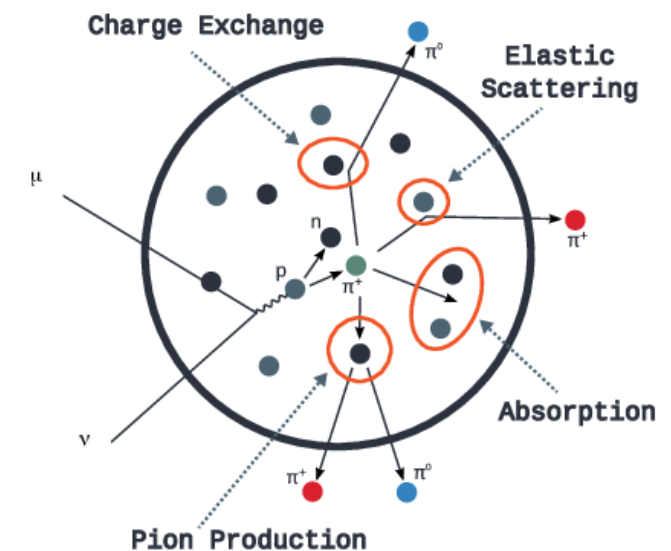
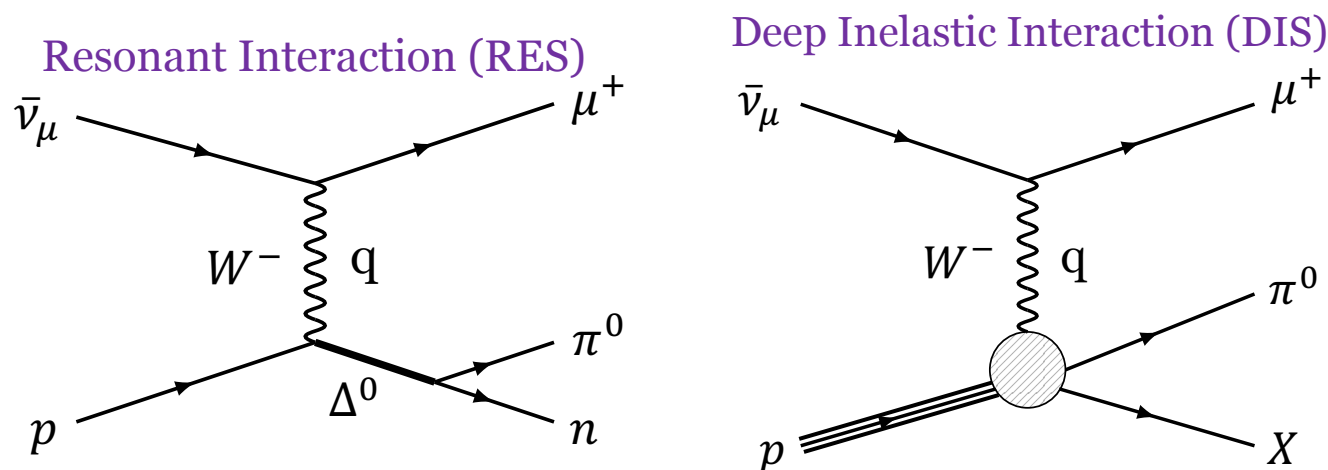
- NOvA near detector (ND):
 - Tracking calorimeter made of PVC cells filled with liquid scintillator oil and a loop of wavelength-shifting fiber connected to avalanche photo-diode (APD).
 - Narrow band neutrino beam 1-3 GeV peaks at ~ 2 GeV.
 - High flux purity (92.5% $\bar{\nu}_\mu$) and huge statistics (~ 1 million $\bar{\nu}_\mu \text{CC}$ interactions) in antineutrino mode.



- **Goal** of this measurement:
Measure charged-current differential cross section with respect to π^0 momentum and angle in the NOvA near detector with muon **antineutrino** beam.

Motivation

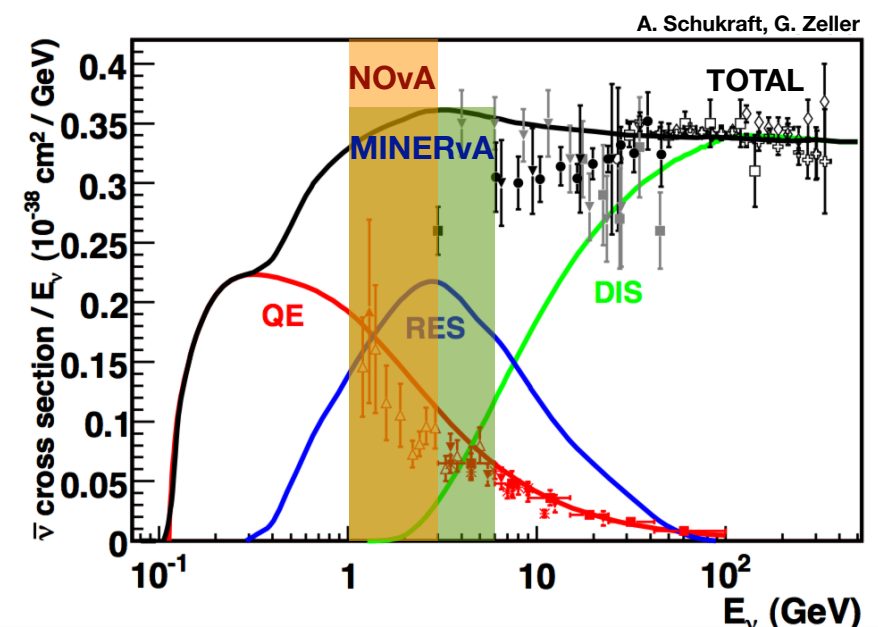
- Neutrino oscillations are measured as function of neutrino energy and accurate estimation of E_ν requires knowledge of final states.
- $\bar{\nu}_\mu \text{CC} \pi^0$ provides insight on background to $\nu_e/\bar{\nu}_e$ appearance.
- Knowledge of $\bar{\nu}_\mu \text{CC} \pi^0$ production constrains systematic uncertainties for neutrino interaction models.



- One previous measurement on charged-current π^0 production used antineutrino beam — MINERvA (Phys. Lett. B 749, 130-136 (2015)).

$\bar{\nu}_\mu$ mode

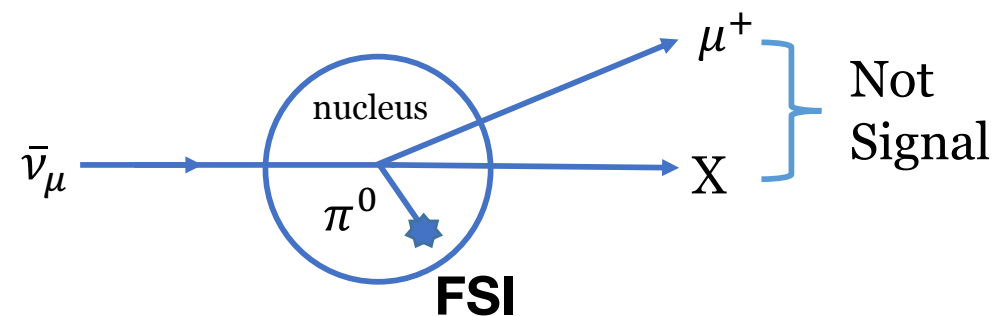
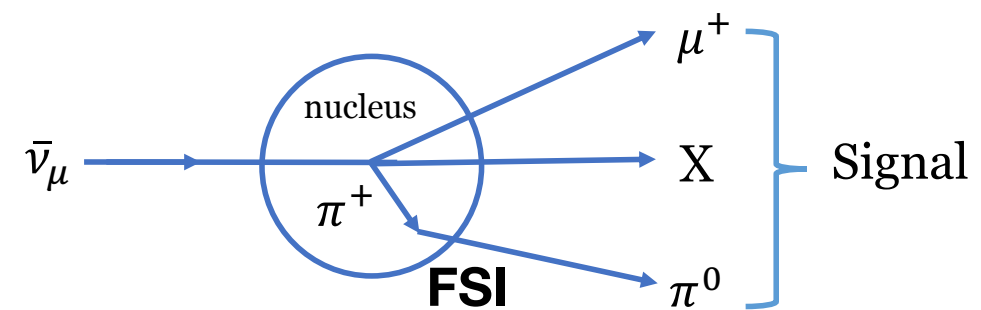
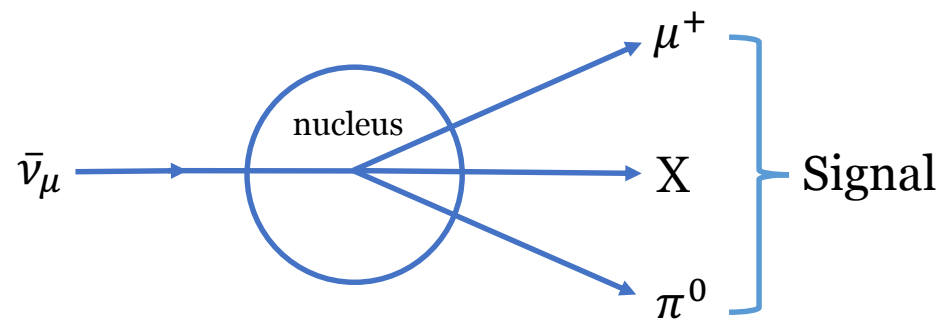
NOvA compared to MINERvA
 ~ 6x POT
 ~ 10x selected signal



Signal Definition

$$\bar{\nu}_\mu + N \rightarrow \mu^+ + \pi^0 + X$$

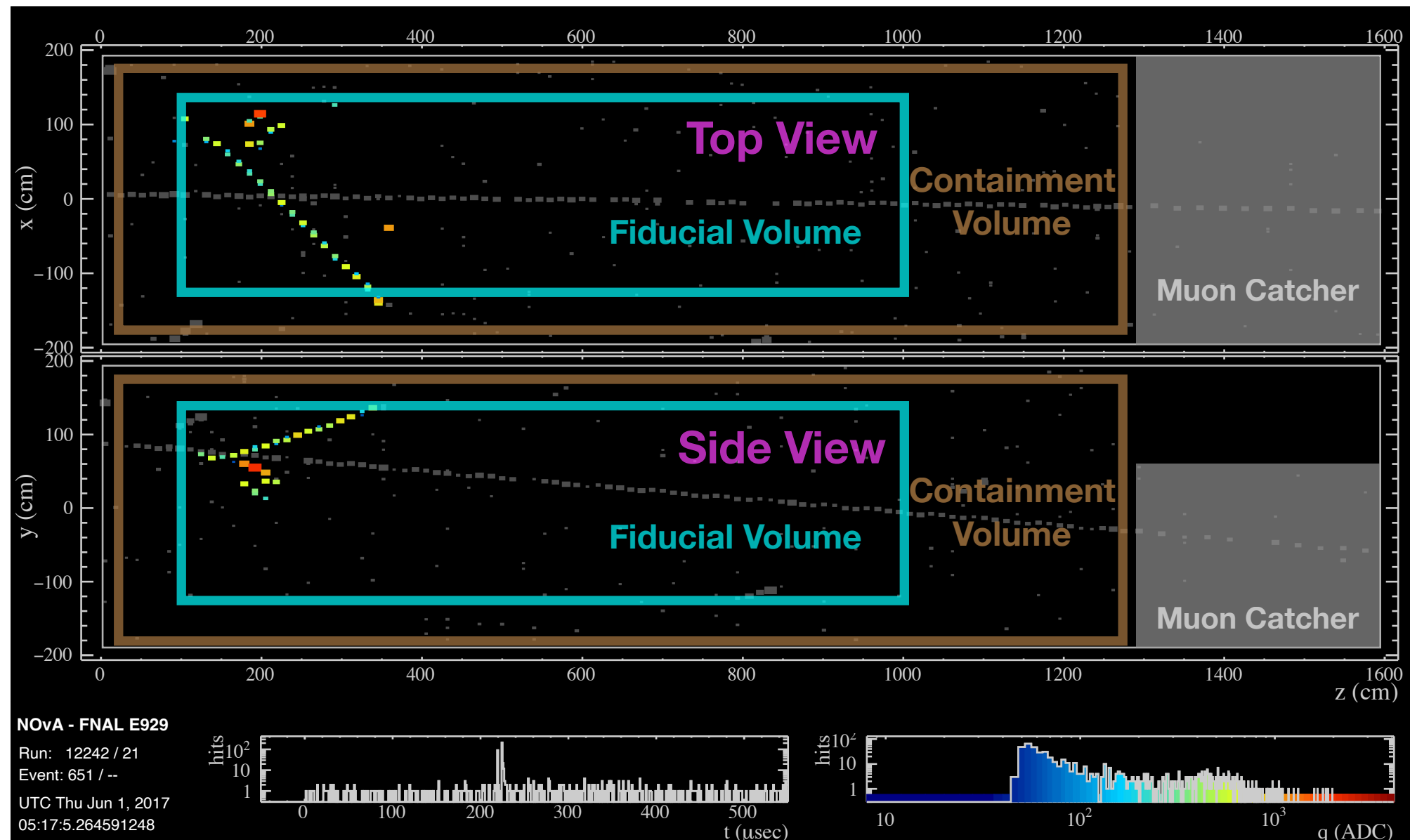
1. $\bar{\nu}_\mu$ CC interaction in fiducial volume
2. At least one π^0 in the final state produced at the vertex
— including π^0 produced in final state interactions (FSI) within the target nucleus.



Secondary π^0 : π^0 produced outside the target nucleus is **background**.

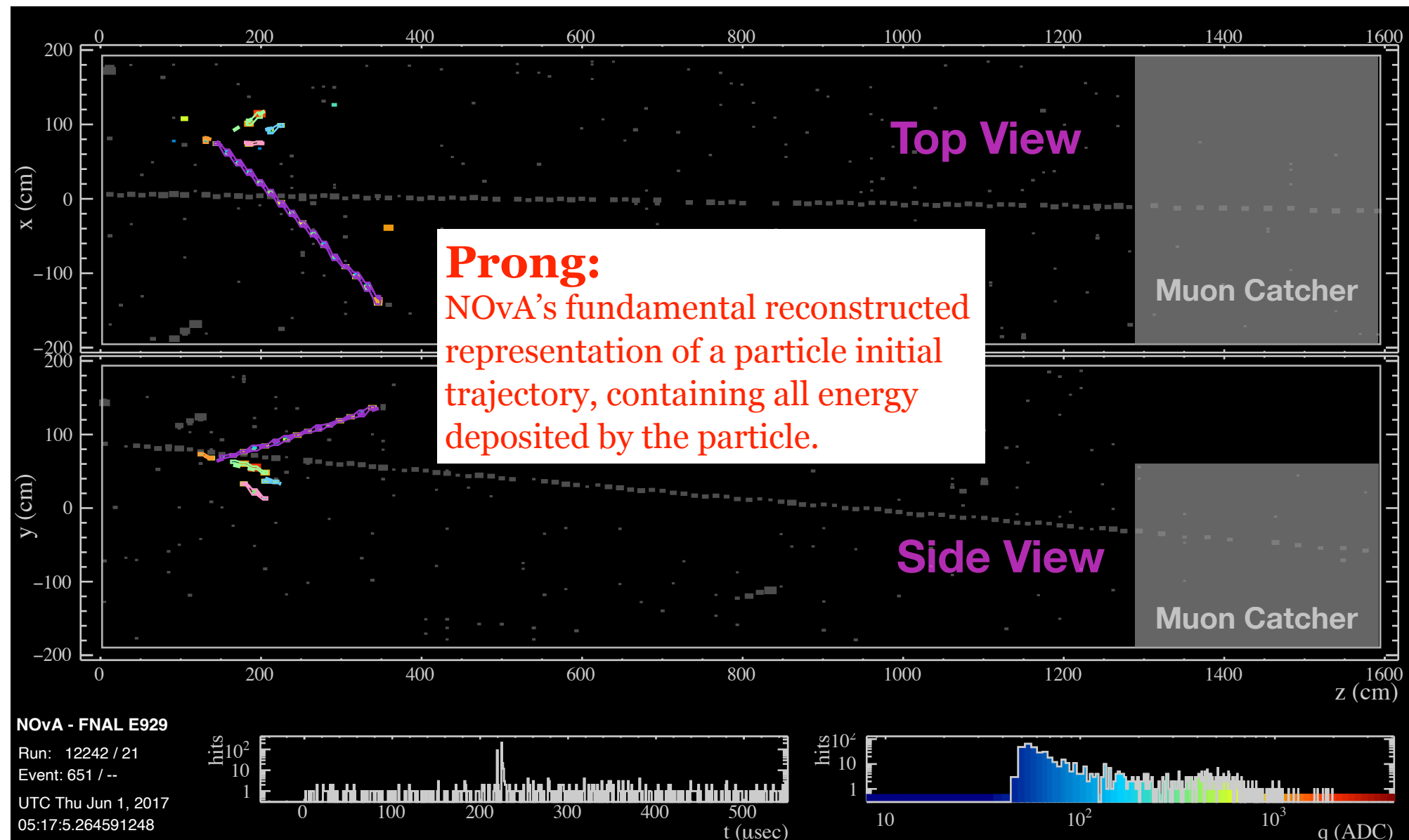
— Particle decay or inelastic scattering during particle propagation

Sample Selected Signal Event



1. Reconstructed interaction vertex is inside the fiducial volume.
2. Tracks/Showers are contained.
3. $\bar{\nu}_\mu$ CC interaction — A long muon track.
4. π^0 in the final state — Two distinct electromagnetic showers ($\pi^0 \rightarrow \gamma + \gamma$).

Sample Selected Signal Event

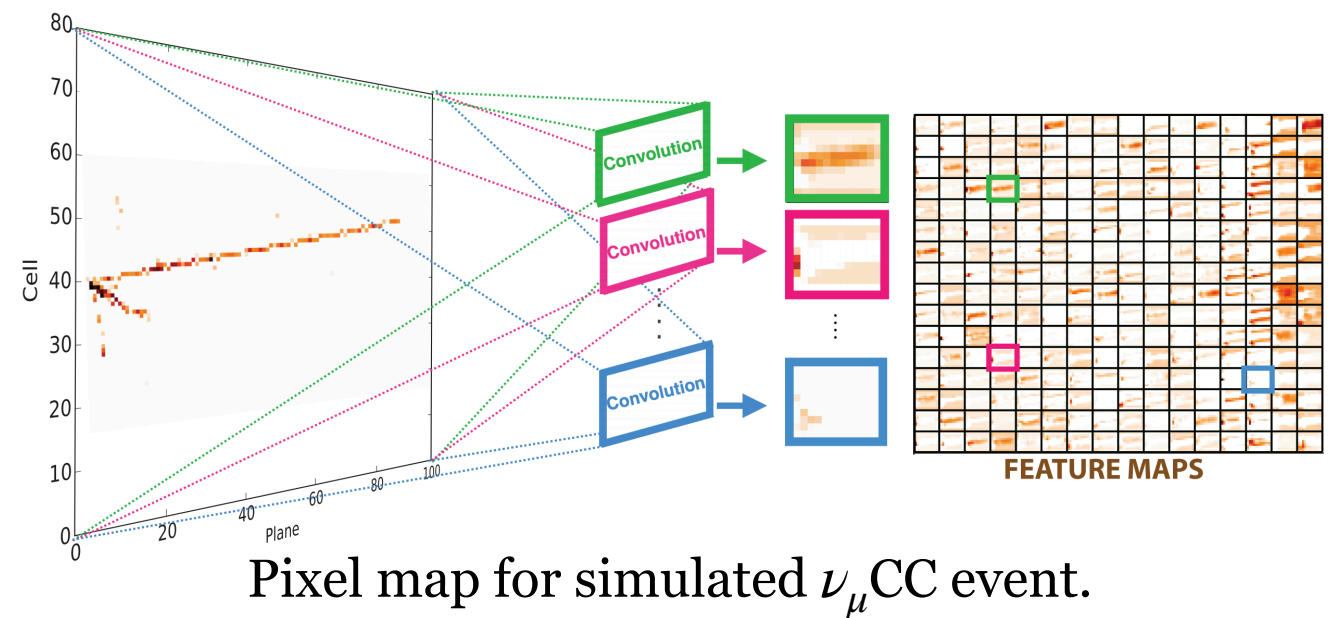


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NOvA Event/Particle Identification

- Event and particle identification is done using a **Convolutional Neural Network (CNN)** in NOvA.

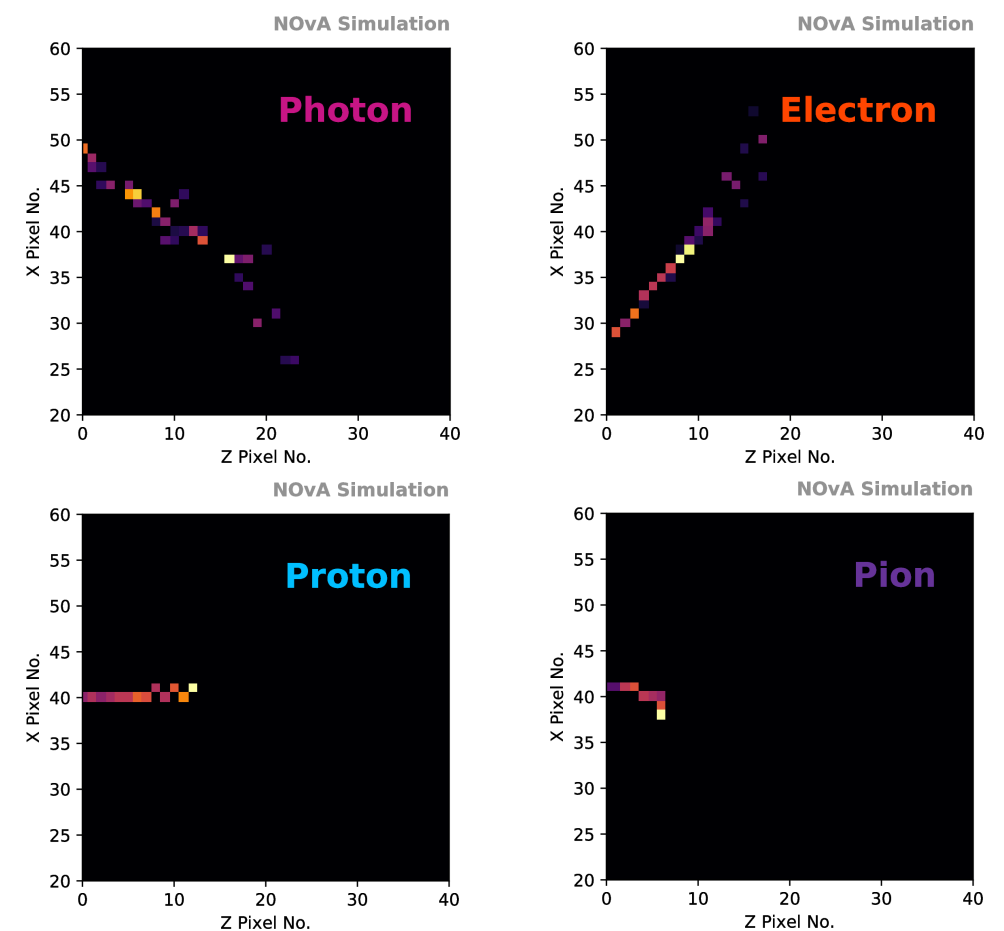
- Convolutions are applied to **pixel maps** of the events and particles, which are trained to identify particular features (tracks vertex, showers, etc).



- Single particle simulation sample was chosen for training.

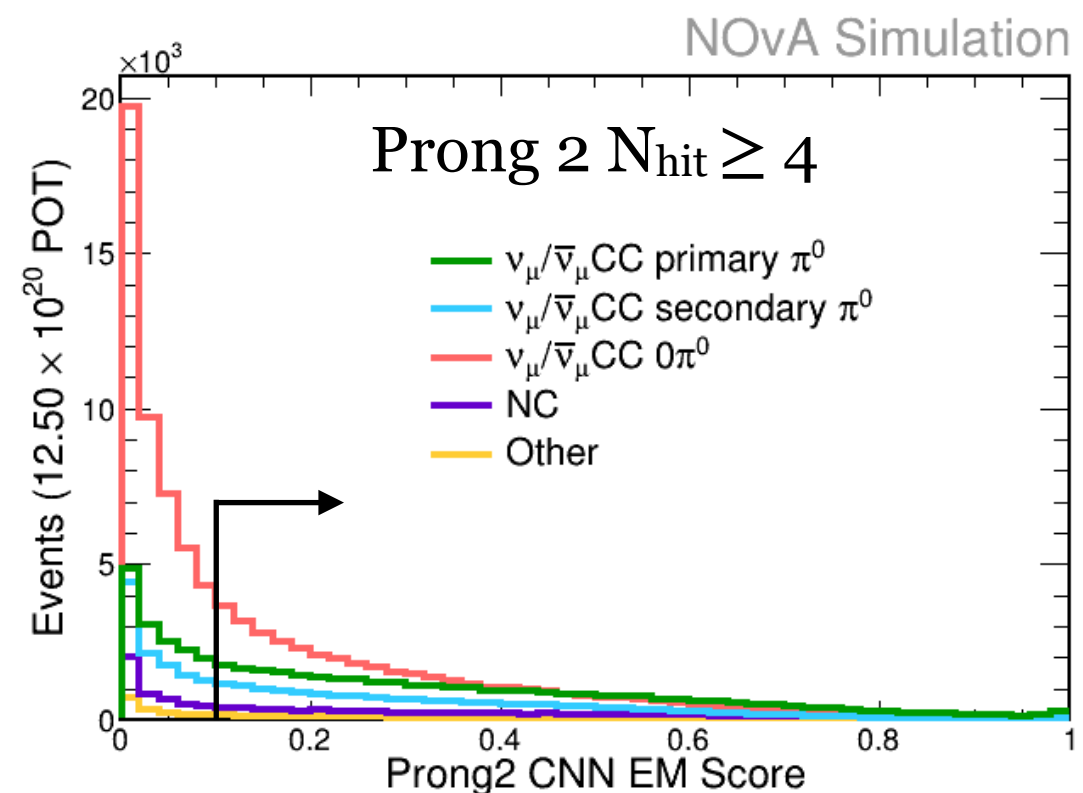
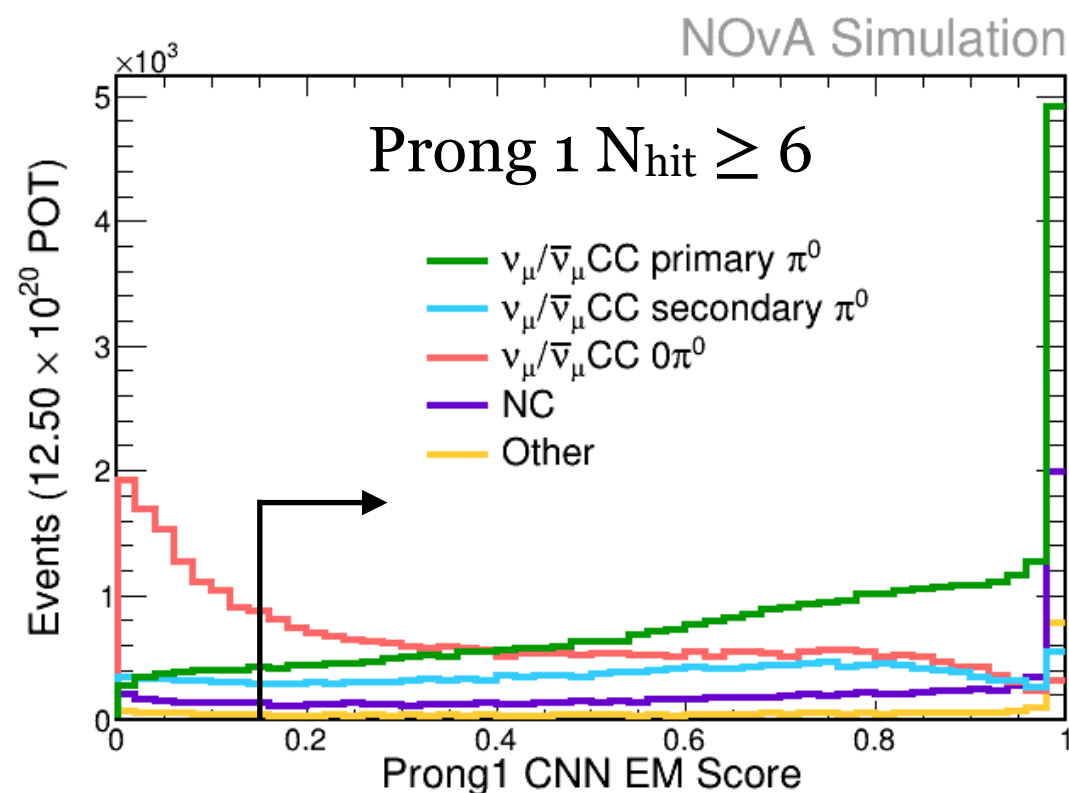
- $e, \gamma, \pi^\pm, p, \mu$
 - Uniform in momentum, angle, generated position.

- Binary classification** for prongs:
EM-like vs. non-EM-like
 - Equal fraction of EM sample and non-EM sample ($\gamma + e$) vs. ($p + \pi^\pm$)



Sample Selection

- **Prong 1 & 2:** Two candidate EM-like prongs in $\bar{\nu}_\mu$ CC preselection sample
 - Identify muon-like prong
 - Select two candidate EM-like prongs with highest CNN EM scores (Prong 1 CNN EM score > Prong 2 CNN EM score)
 - Background reduction cut (muon kinetic energy cut) and prong quality cuts applied

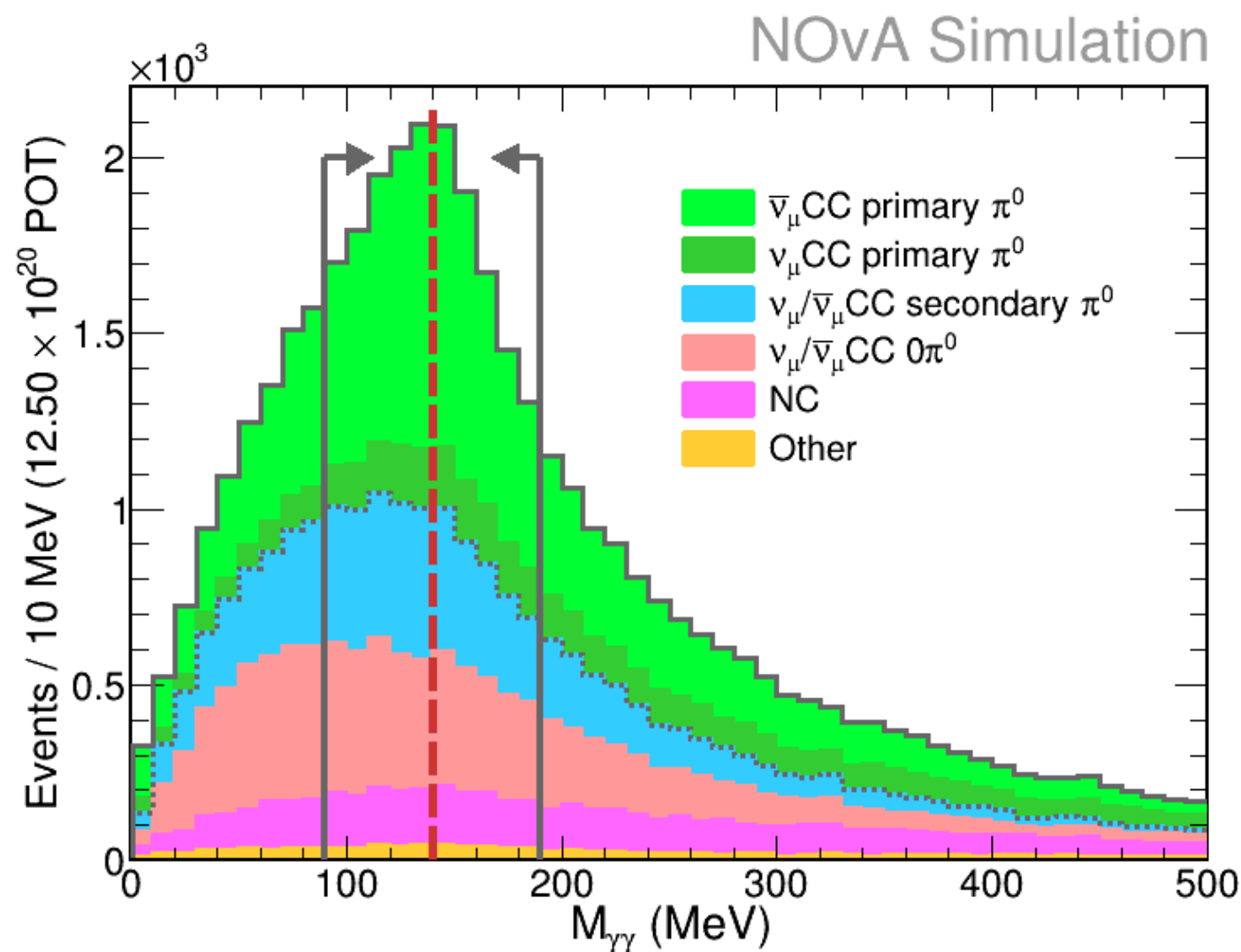


π^0 Selection

- Prong CNN EM score cut
 - Prong 1 CNN EM score > 0.15
 - Prong 2 CNN EM score > 0.10

Invariant Mass Distribution

$$m_{\gamma\gamma} = \sqrt{2E_{\gamma_1}E_{\gamma_2}(1 - \cos \theta_{\gamma\gamma})}$$



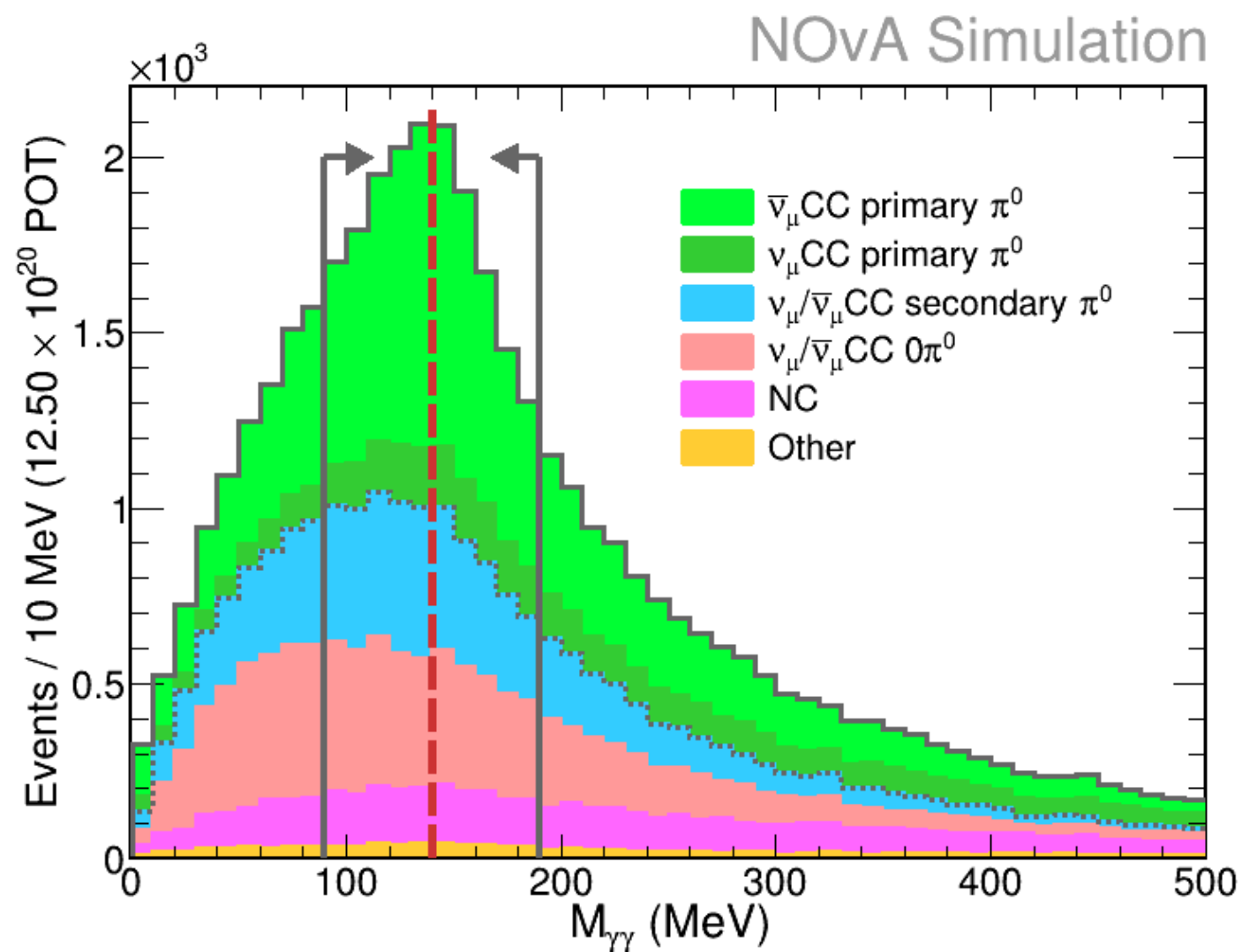
- **Signal**
— $\bar{\nu}_\mu/\nu_\mu$ CC with primary π^0
- **CC π^0 Background**
— $\bar{\nu}_\mu/\nu_\mu$ CC with secondary π^0
- **Non-CC or Non- π^0 Background**
— $\bar{\nu}_\mu/\nu_\mu$ CC without π^0
— NC
— Other

- Invariant mass peak cut — [90, 190] MeV

All Simulated Signal	Selected Events	Selected Signal	Efficiency	Purity
1.564e6	18008	8736	0.56%	48.5%

Invariant Mass Distribution

$$m_{\gamma\gamma} = \sqrt{2E_{\gamma_1}E_{\gamma_2}(1 - \cos \theta_{\gamma\gamma})}$$



- Signal
 - $\bar{\nu}_\mu/\nu_\mu$ CC with primary π^0
- CC π^0 Background
 - $\bar{\nu}_\mu/\nu_\mu$ CC with secondary π^0
- Non-CC or Non- π^0 Background
 - $\bar{\nu}_\mu/\nu_\mu$ CC without π^0
 - NC
 - Other

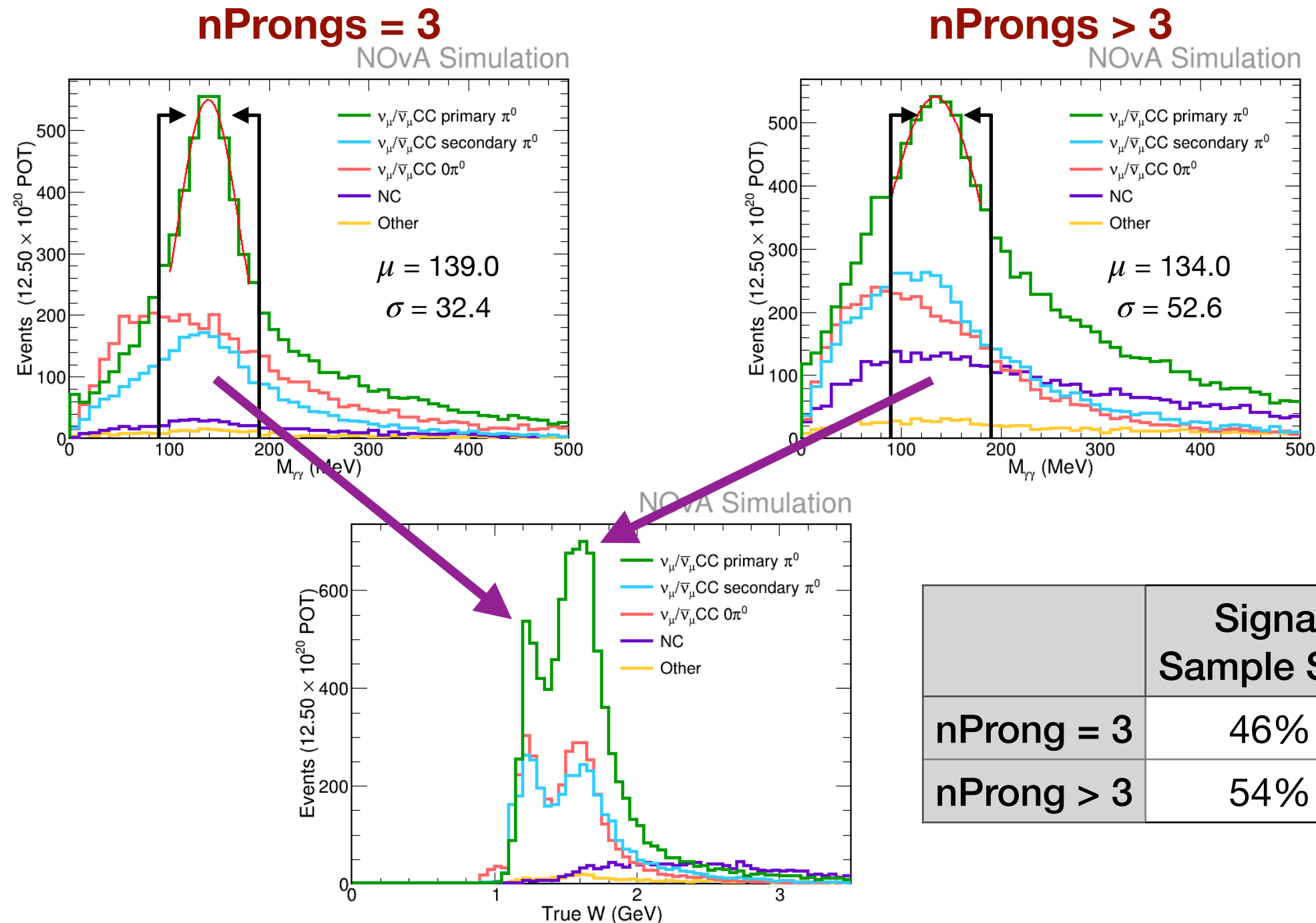
Template fit!

- Invariant mass peak cut — [90, 190] MeV

All Simulated Signal	Selected Events	Selected Signal	Efficiency	Purity
1.564e6	18008	8736	0.56%	48.5%

Number of Prongs Cut

- Number of prongs cut splits selected sample into two orthogonal samples:
 - $n\text{Prongs} = 3$ & $n\text{Prongs} > 3$, corresponding to two average W values
- Make measurements in two W bins



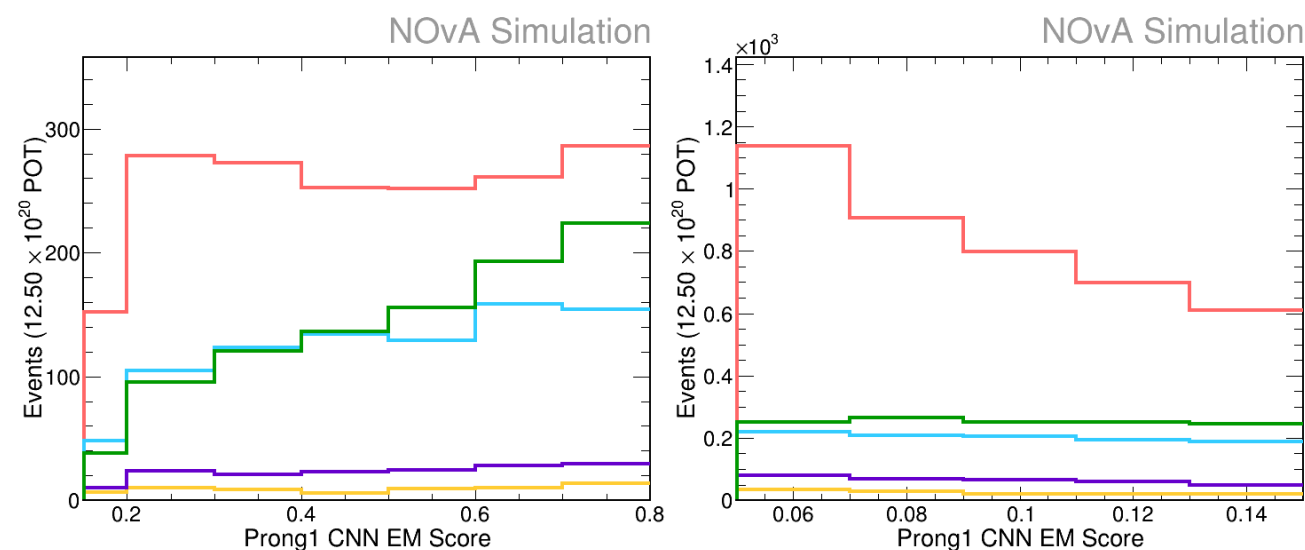
	Signal Sample Size	Purity
$n\text{Prong} = 3$	46%	53.1%
$n\text{Prong} > 3$	54%	45.2%

Background Estimation

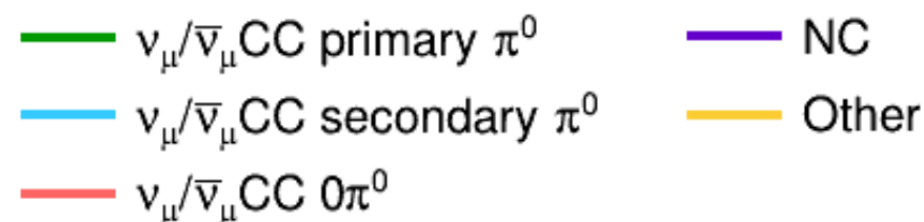
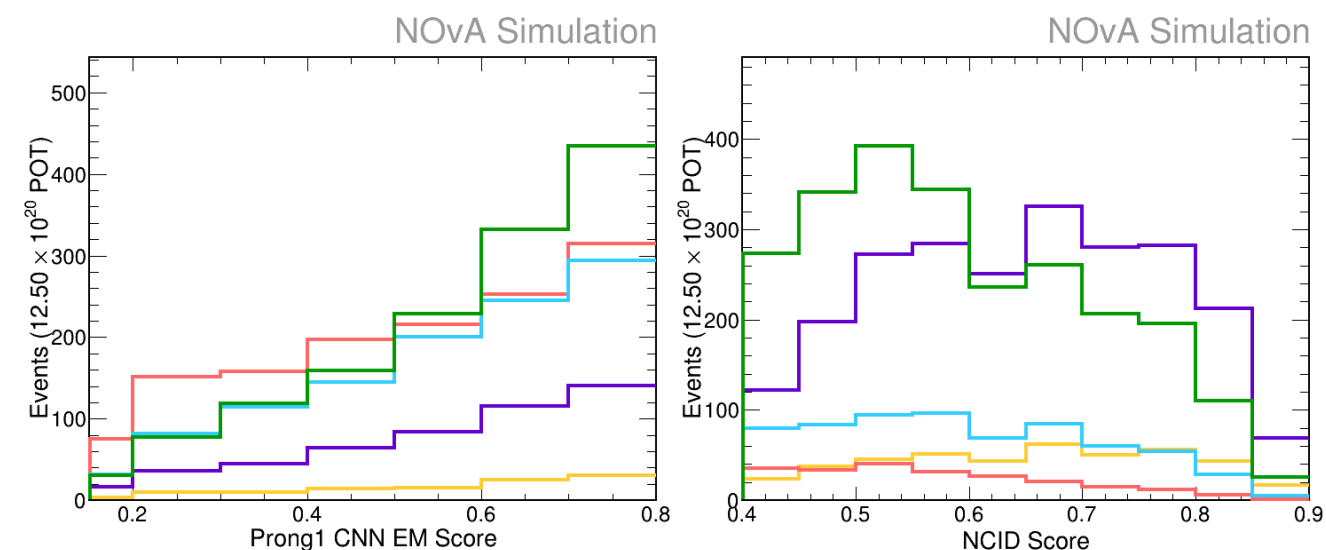
Data-driven **template fit**: Estimate $\bar{\nu}_\mu/\nu_\mu \text{CC } 0\pi^0$ and **NC** backgrounds.

- **4 sidebands:**
 nProngs = 3: $\bar{\nu}_\mu/\nu_\mu \text{CC } 0\pi^0$ -1 sideband, $\bar{\nu}_\mu/\nu_\mu \text{CC } 0\pi^0$ -2 sideband
 nProngs > 3: $\bar{\nu}_\mu/\nu_\mu \text{CC } 0\pi^0$ -1 sideband, NC sideband
- **Templates:**
 - $\bar{\nu}_\mu/\nu_\mu \text{CC } 0\pi^0$ sidebands template: Prong1 EM CNN Score
 - NC sideband template: A Boosted Decision Tree (BDT) trained score (NCID)

nProngs = 3 sample sidebands



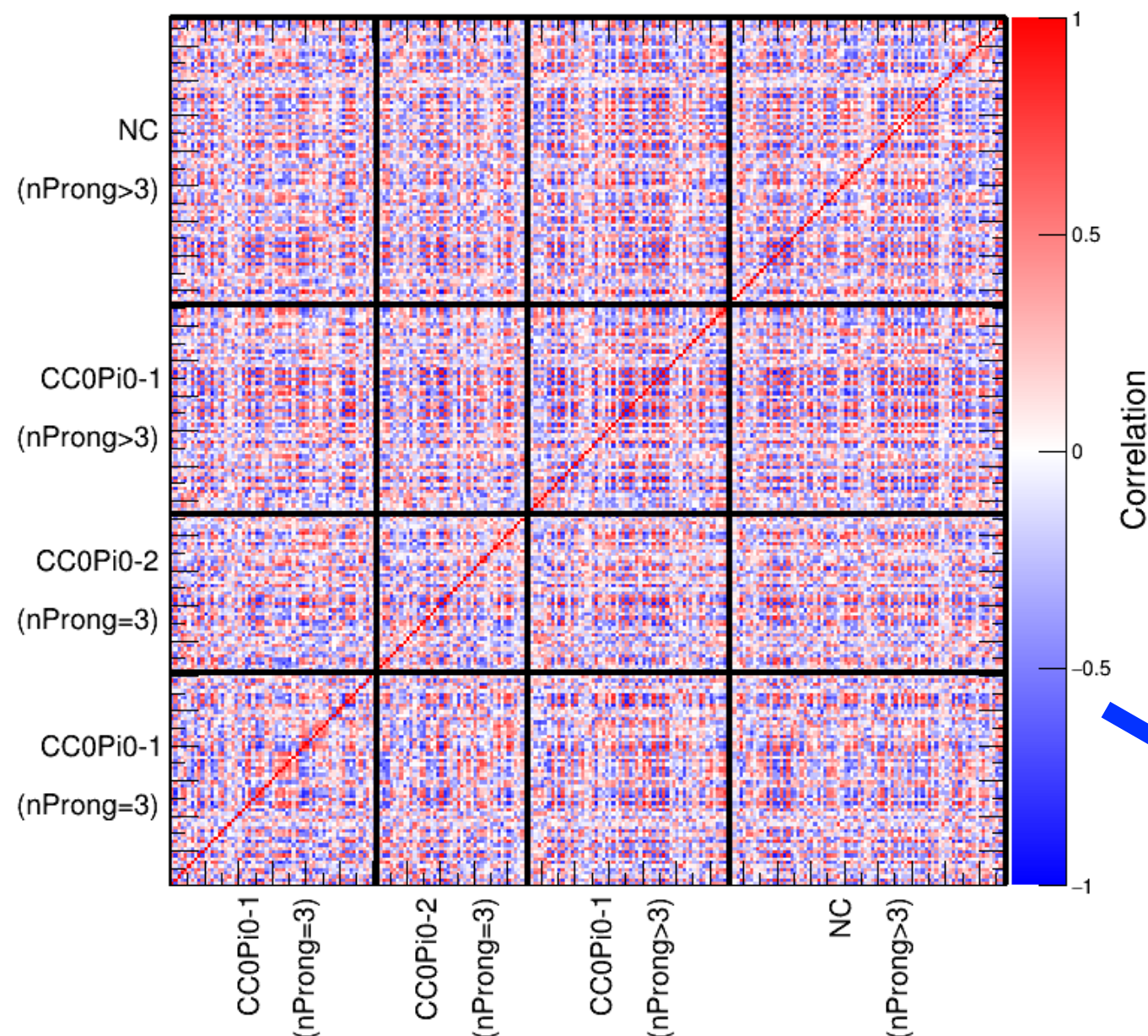
nProngs > 3 sample sidebands



Background Estimation

Data-driven **template fit**: Estimate $\bar{\nu}_\mu/\nu_\mu$ **CC** $0\pi^0$ and **NC** backgrounds.

- Project each kinematic bin down to the template distributions broken down by signal and background components across all sidebands.
- Construct covariance matrix V , where $V = V_{stat} + V_{syst}$



- Globally fit template normalization parameters by minimizing

$$\chi^2 = (x - \mu)^T V^{-1} (x - \mu)$$

correlation matrix
“normalized” covariance matrix

Fake Data Fit Results

nProngs = 3

Fake Data:

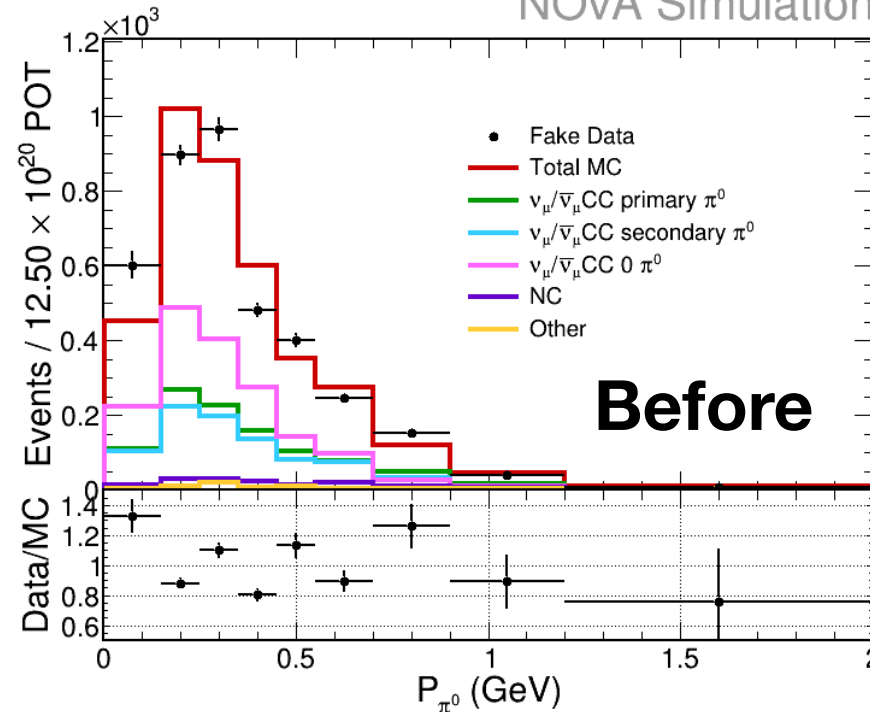
Adjust $\bar{\nu}_\mu/\nu_\mu$ CC $0\pi^0$ normalizations up or down by 20% in alternating bins

Fitting χ^2 :

525 (Before) \rightarrow 260 (After)

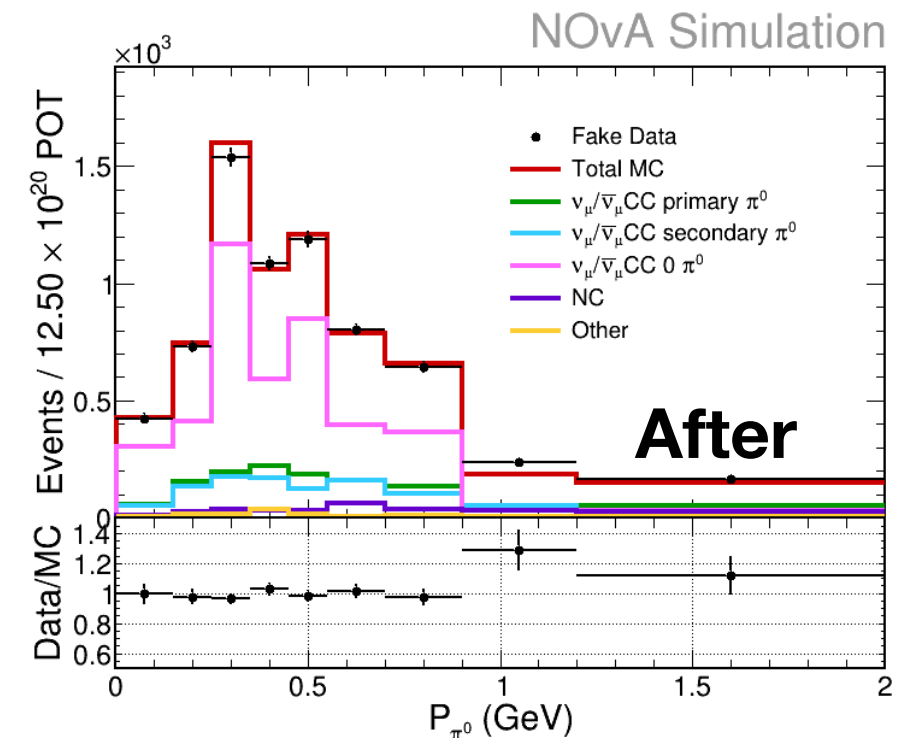
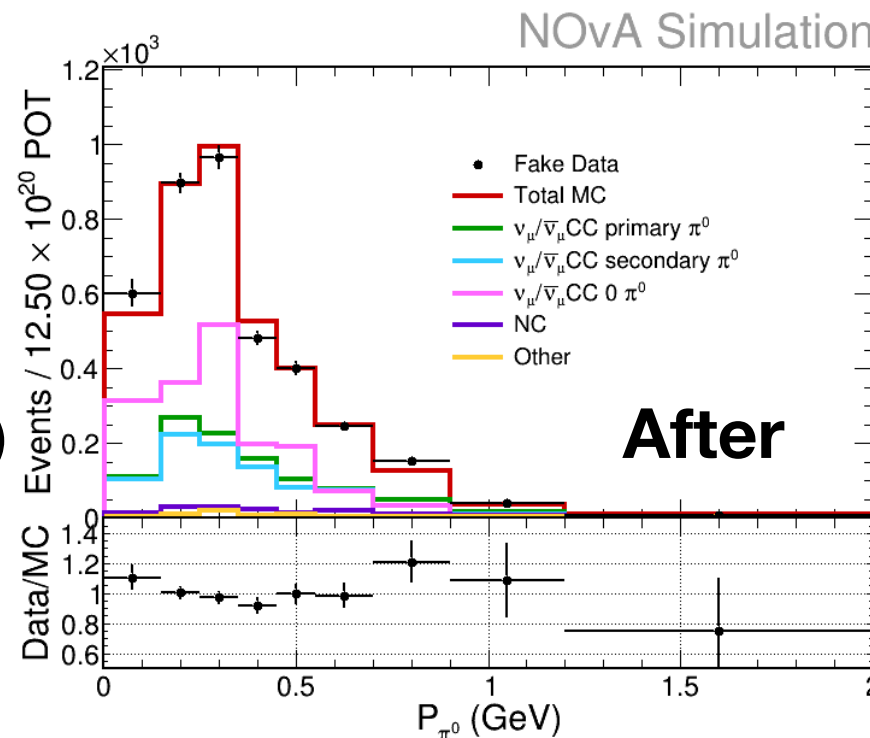
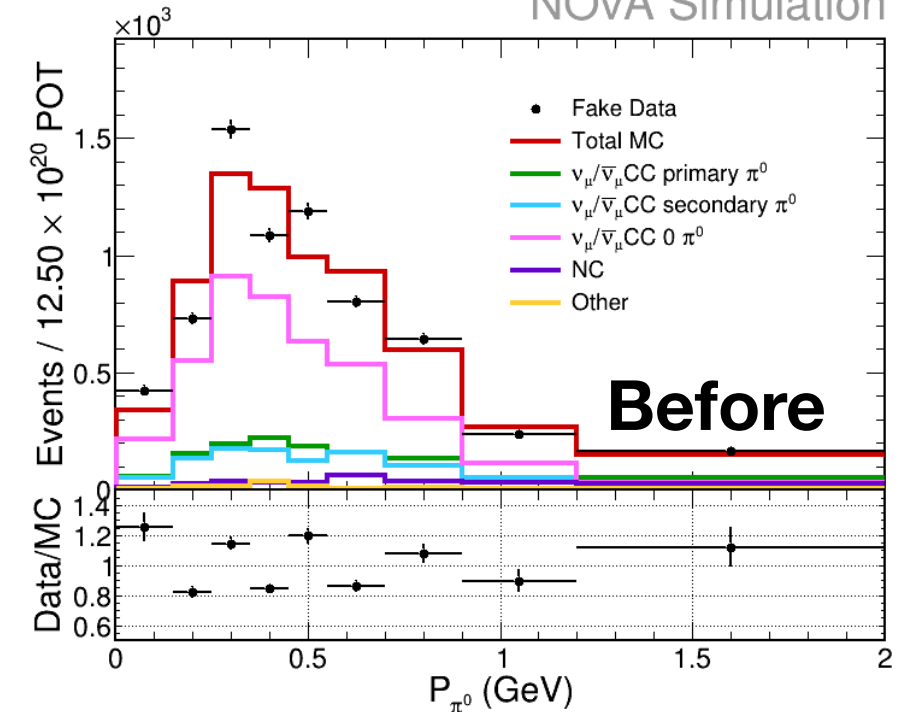
$\bar{\nu}_\mu/\nu_\mu$ CC $0\pi^0$ - 1 Sideband

NOvA Simulation



$\bar{\nu}_\mu/\nu_\mu$ CC $0\pi^0$ - 2 Sideband

NOvA Simulation

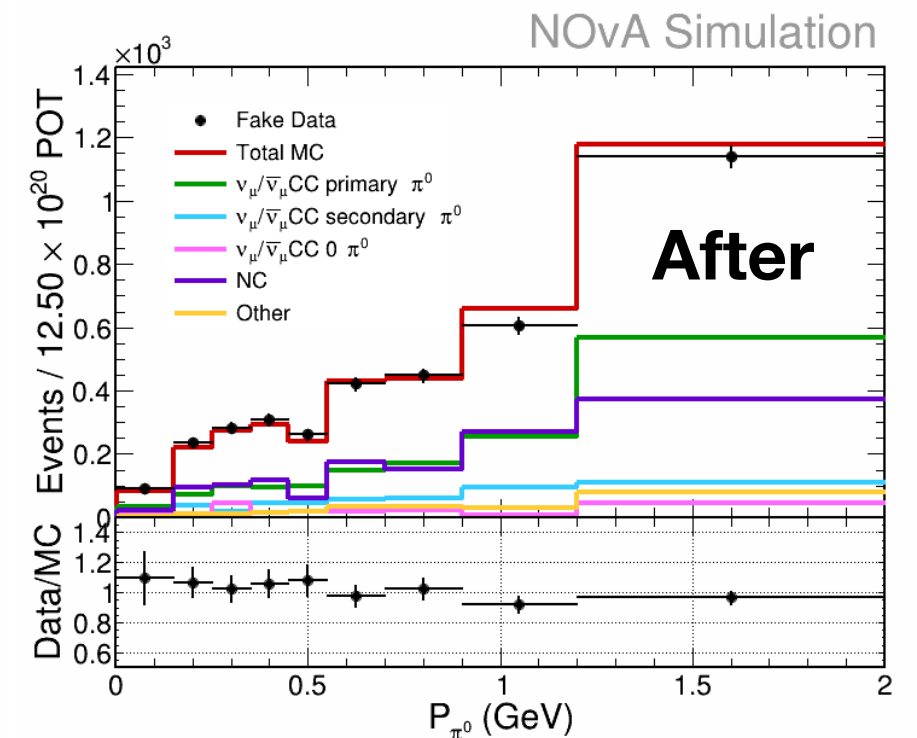
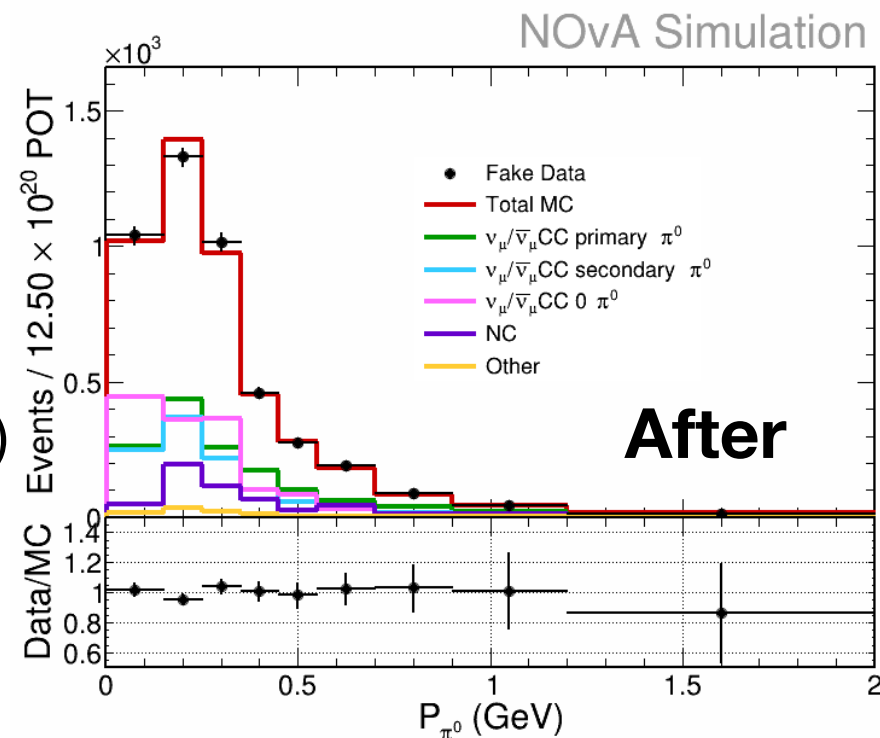
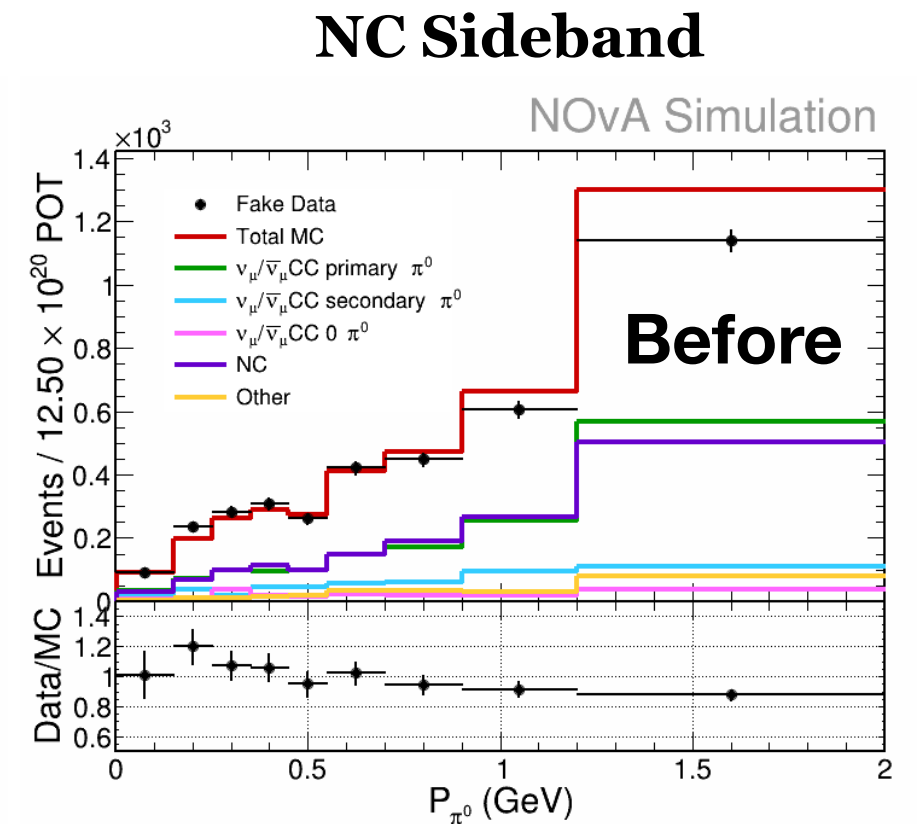
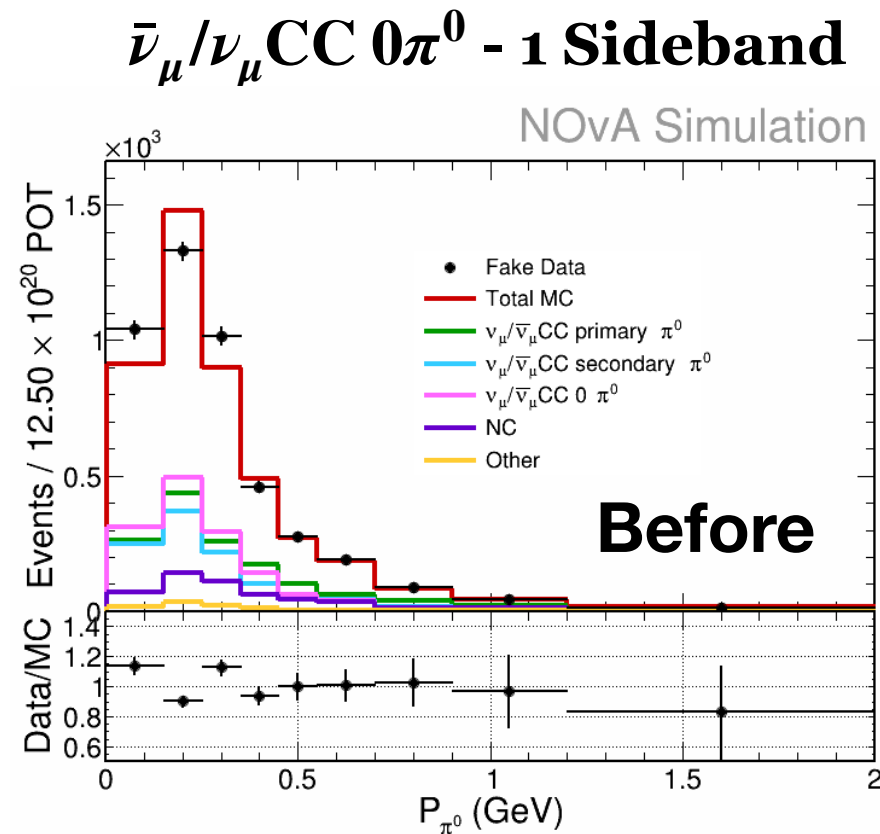


Fake Data Fit Results

nProngs > 3

Fake Data:
Adjust $\bar{\nu}_\mu/\nu_\mu$ CC $0\pi^0$
and NC normalizations
up or down by 20% in
alternating bins

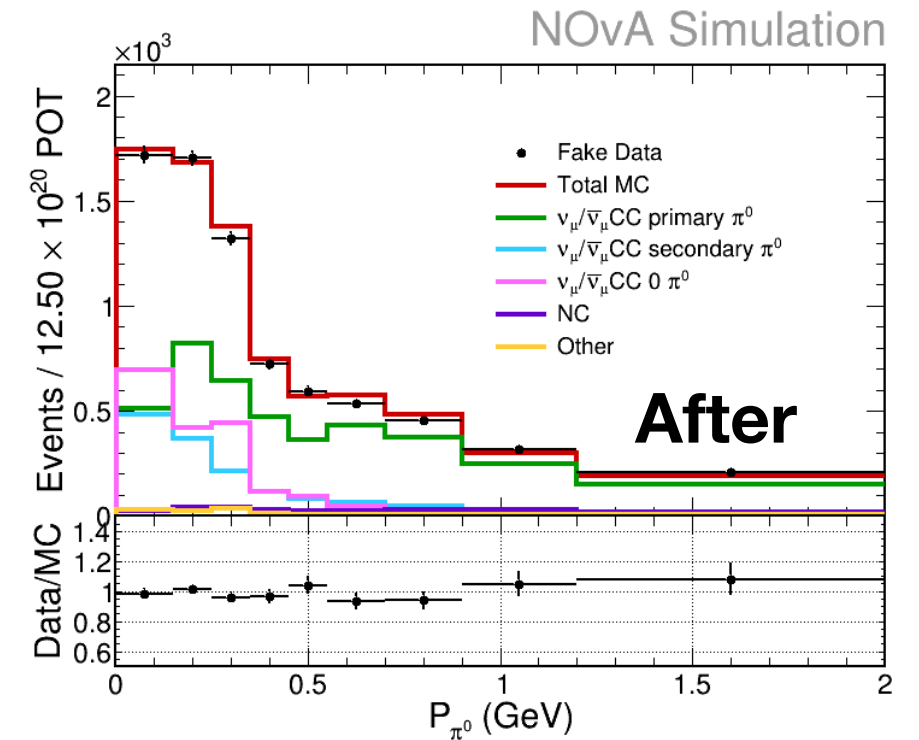
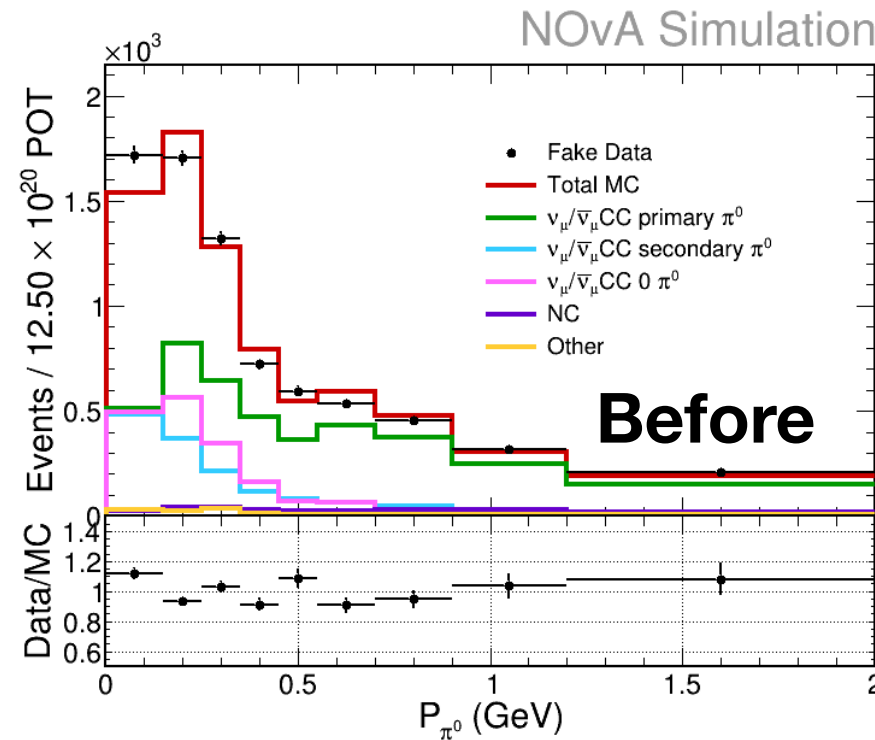
Fitting χ^2 :
548 (Before) \rightarrow 261 (After)



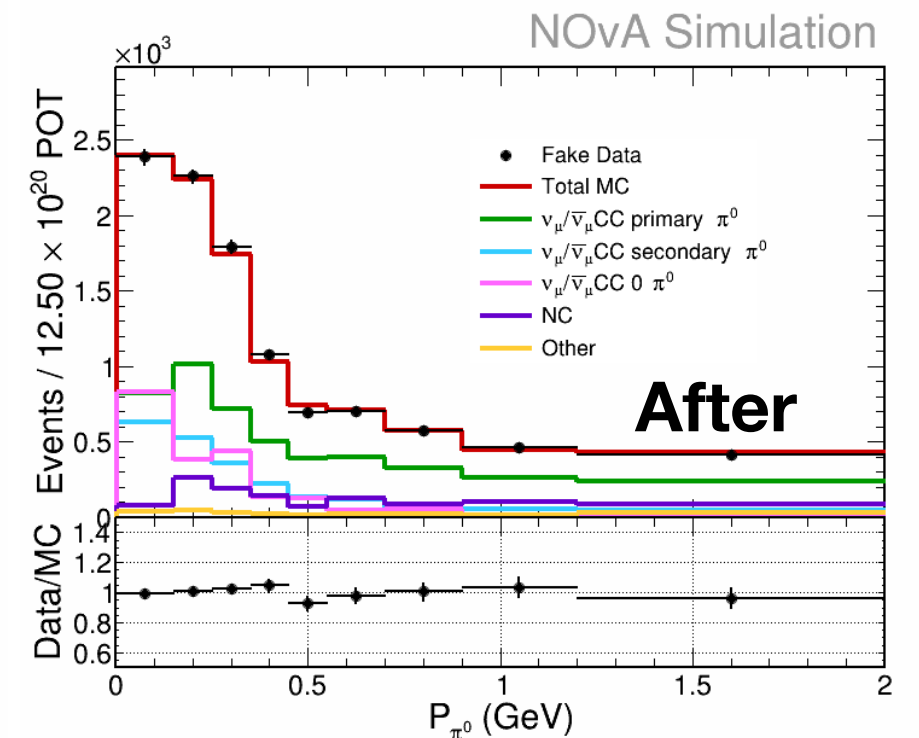
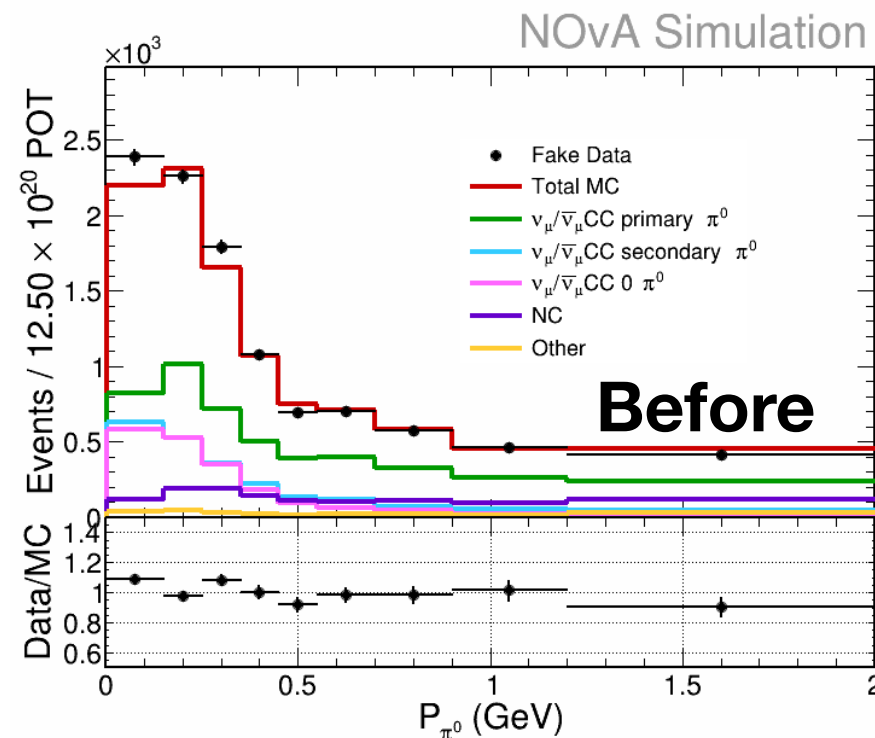
Fake Data Fit Results

- The template fit can be extended to estimate background in **signal region**.

Signal Region
nProngs = 3



Signal Region
nProngs > 3



Conclusion

- The high statistics antineutrino mode data in the NOvA Near Detector can be used to perform a measurement of the cross section of the $\bar{\nu}_\mu \text{CC} \pi^0$ in the resonance regime.
 - Differential cross-section measurement with respect to π^0 momentum and angle.
- CNN has been developed for EM shower selection.
 - Uses single particle prongs for training.
- A data-driven template fit has been developed to estimate $\bar{\nu}_\mu/\nu_\mu \text{CC } 0\pi^0$ and NC backgrounds using sidebands.
- Finalizing unfolding and systematic uncertainty estimation.

Expect results soon!



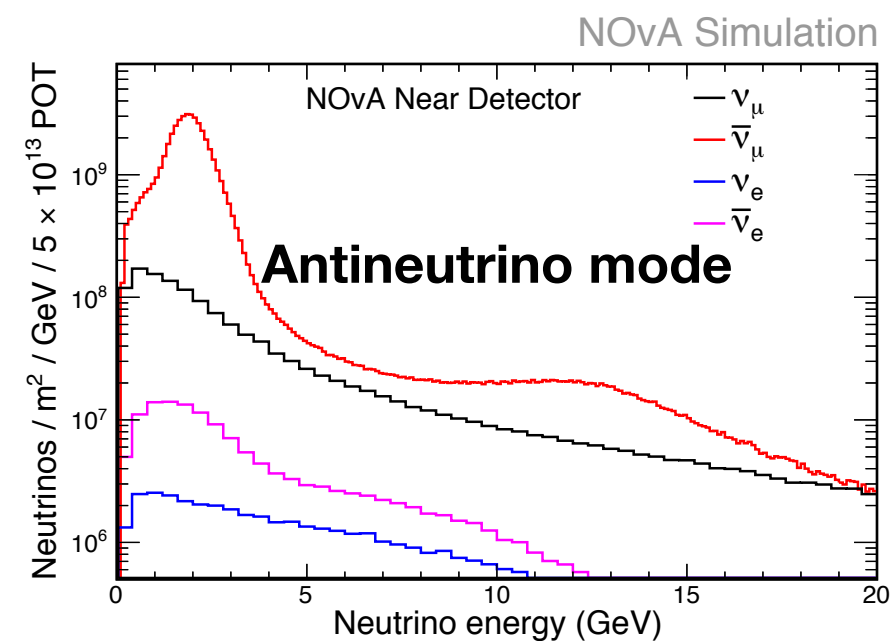
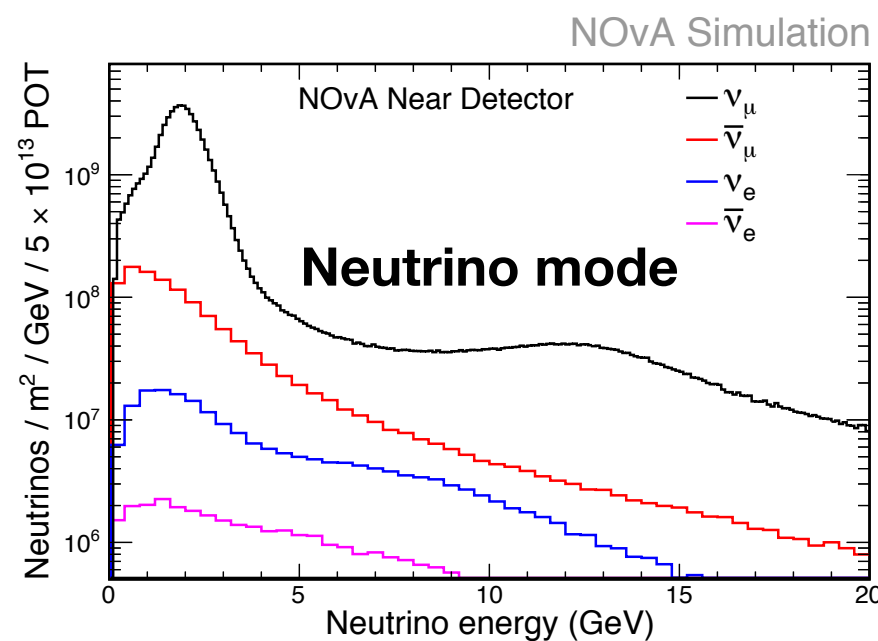
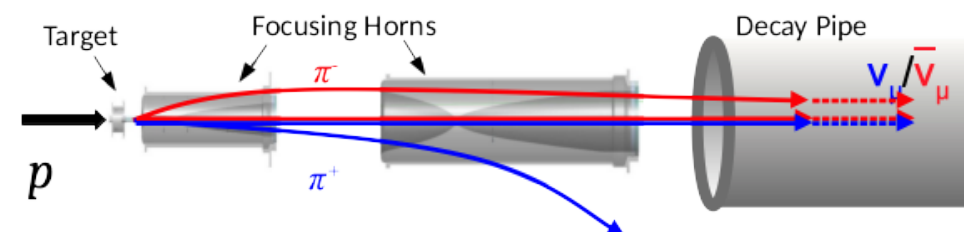
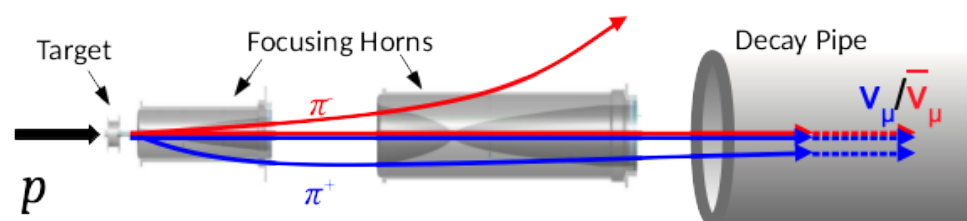
NOvA Collaboration, June 2022 @ Duluth, MN

Thank you!

Backup

NOvA Flux at Near Detector

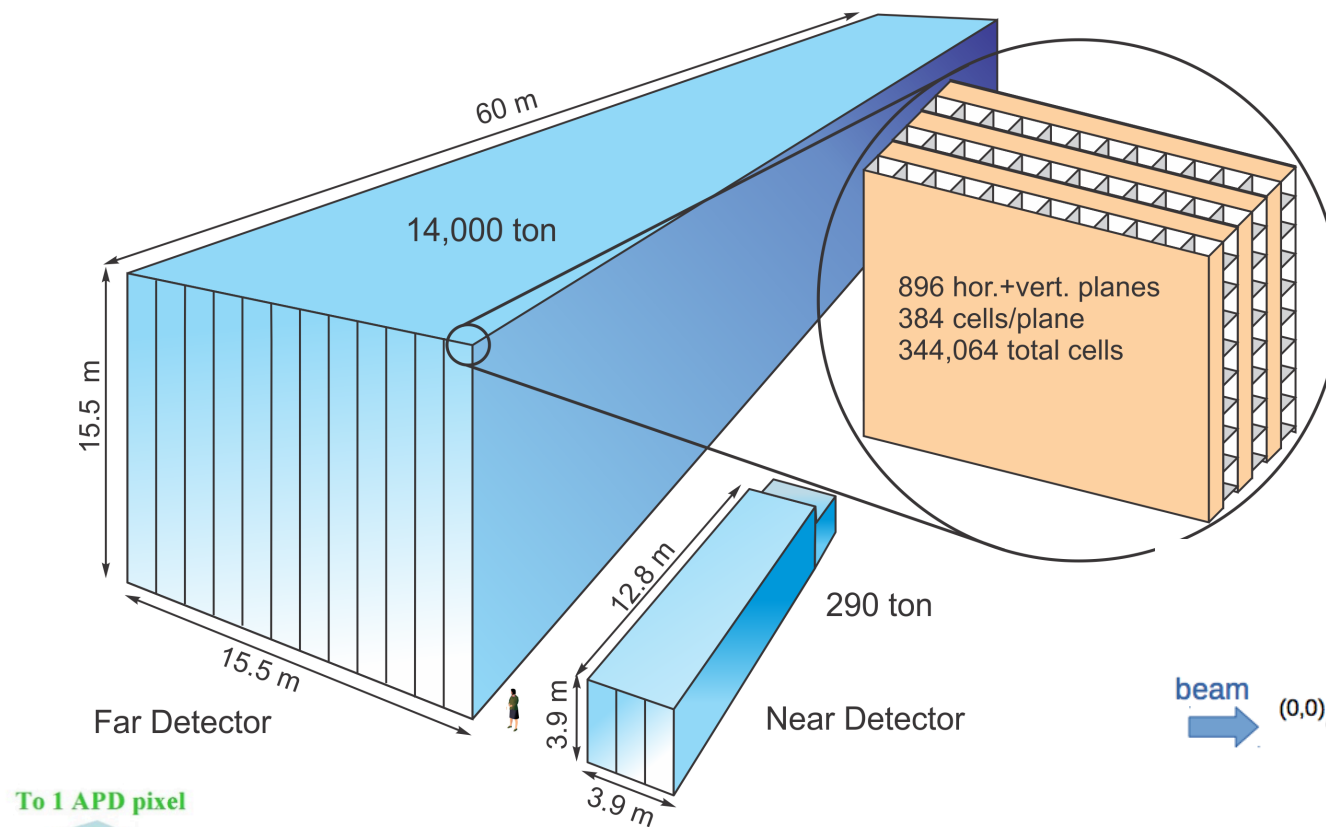
- **Forward Horn Current (FHC)** configuration focuses positively charged particles that decay to produce neutrinos.
- **Reverse Horn Current (RHC)** configuration focuses negatively charged particles that decay to produce antineutrinos.



Integrated in [1, 5] GeV neutrino energy,

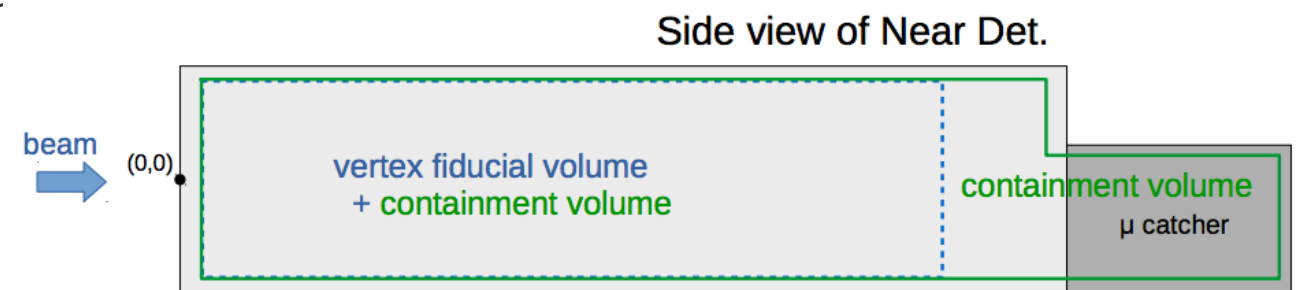
- The FHC beam contains 93.8% ν_μ with **5.3%** $\bar{\nu}_\mu$ and 0.9% $\nu_e/\bar{\nu}_e$.
- The RHC beam contains 92.5% $\bar{\nu}_\mu$ with **6.6%** ν_μ and 0.9% $\nu_e/\bar{\nu}_e$.

NOvA Detectors

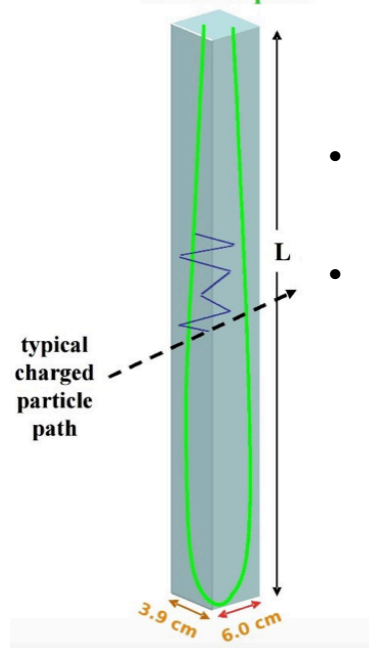


Construction

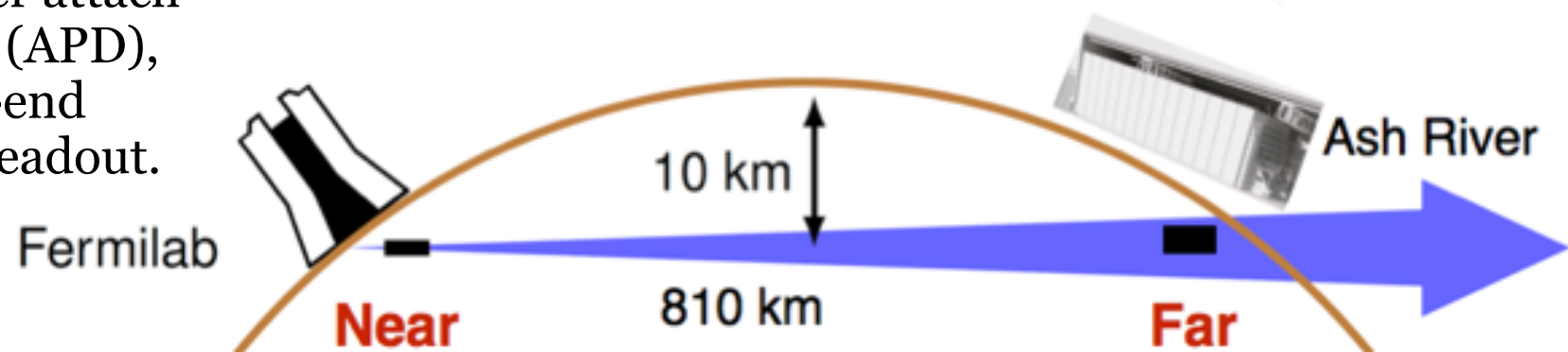
- PVC plastic cells arranged into planes
- Filled with liquid scintillator
- Horizontal & vertical plane orientations provide a 3D view
- 65% active mass



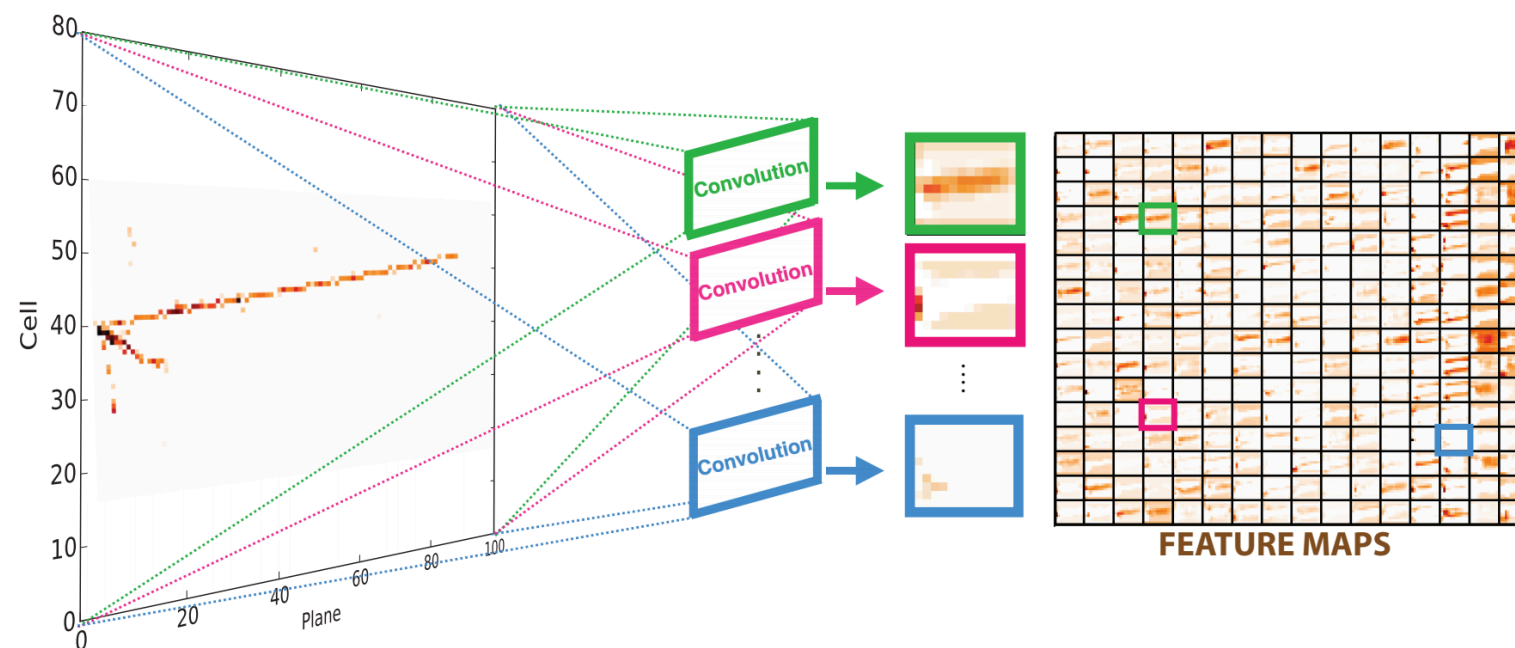
To 1 APD pixel



- Each cell has a single wavelength-shifting fiber looped inside it.
- The two free ends of the fiber attach to an avalanche photodiode (APD), which is attached to a front-end board for signal detection/readout.



NOvA Event/Particle Classification



“A Convolutional Neural Network Neutrino Event Classifier”
A. Aurisano, A. Radovic, and D. Rocco *et al*
Journal of Instrumentation, Volume 11, September 2016

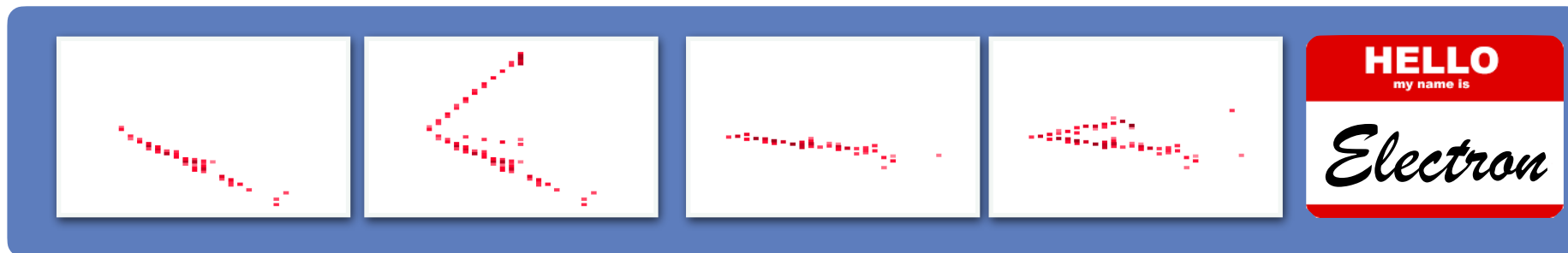
Convolutional Neural Network (CNN)

→ Convolutional Visual Network (CVN) in NOvA

- Event Classification:
Convolutions are applied to **pixel maps** of the events, which are trained to identify particular features (tracks, vertex, showers, etc.). Output of this process is a score by category.
- Particle Identification:
Identify individual particles within an interaction event, using the full event topology for context.

Addressed Training Bias Issue

- The original Particle-CNN used in oscillation analysis has been trained on both views (XZ-view & YZ-view) of the particle and both views of the entire event, which has bias from neutrino interaction model.



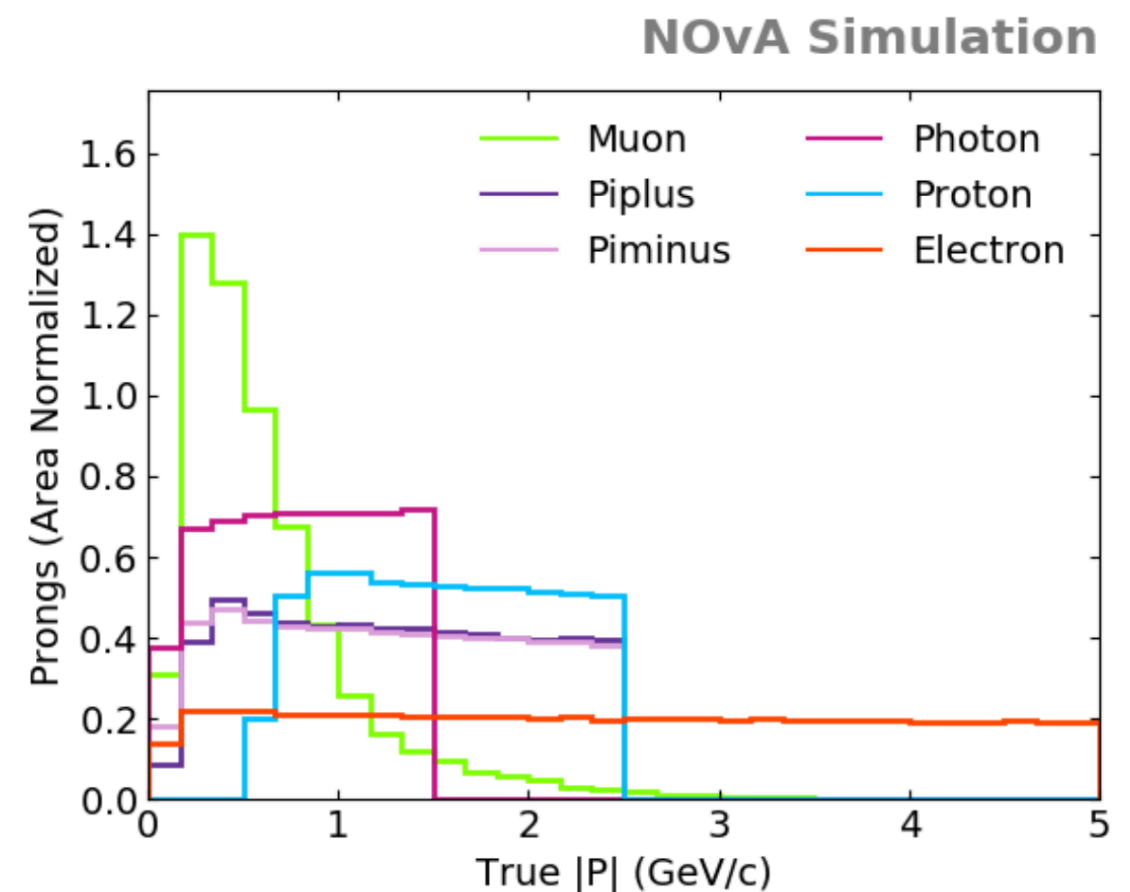
- We had to develop an unbiased training sample for this cross-section measurement.
- Single particle simulation sample was chosen.
 - $e, \gamma, \pi^{\pm}, p, \mu$
 - Uniform distribution in momentum, angle, generated position

Why is this better?

- Neutrino interaction model information is removed from training
- Information about kinematic distribution for final state particles are removed.

NOvA Particle Identification

- Single particle simulation sample was chosen for training.
 - $e, \gamma, \pi^\pm, p, \mu$
 - Uniform distribution in momentum, angle, generated position
- Binary classification for prongs: Electromagnetic-like (EM-like) vs. non-EM-like
 - Equal fraction of EM sample and non-EM sample
($\gamma + e$) vs. ($p + \pi^\pm$)
 - μ excluded from training
- **Cuts applied on trained prongs:**
 - Prong length ≤ 500 cm
 - Prong is produced by generated particles
 - Most energetic prong
 - Prong is contained in the detector
 - Prong quality cut: number of hit ≥ 4



Sample Selection

Preselection

- Slice quality cut
- Fiducial volume cut — Event vertex in fiducial volume
- Tracks/Showers containment cut — All tracks/showers contained, only primary muon track can be in muon catcher
- Muon ID cut

Candidate Events Selection

- 3 or more prongs
 - Muon-like prong: Most collinear with primary muon track
 - Two candidate EM-like prongs: Highest CNN EM scores

Background Reduction Cuts

- Muon kinetic energy cut — $KE_\mu > 0.4 \text{ GeV}$
- Prong quality cuts — Two candidate EM-like prongs
Prong 1 $N_{\text{hit}} \geq 6$, Prong 2 $N_{\text{hit}} \geq 4$

Sources of Systematic Uncertainties

- Neutrino cross-section systematics
- Particle tracking cross-section systematics
 - Mainly come from $\pi^\pm \rightarrow \pi^0$ when propagating through the detector (the source of secondary π^0 background)
- Flux modeling systematics
 - Hadron production in the NuMI target (large)
 - Beam transport
- Detector response systematics
 - Simulated light level (large)
 - Absolute calibration
 - Attenuation calibration
-